

Enabling 5G: mmWave Silicon Integration and Packaging

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T. J. Watson Research Center**



Why mmWave?

The only way to go is higher!

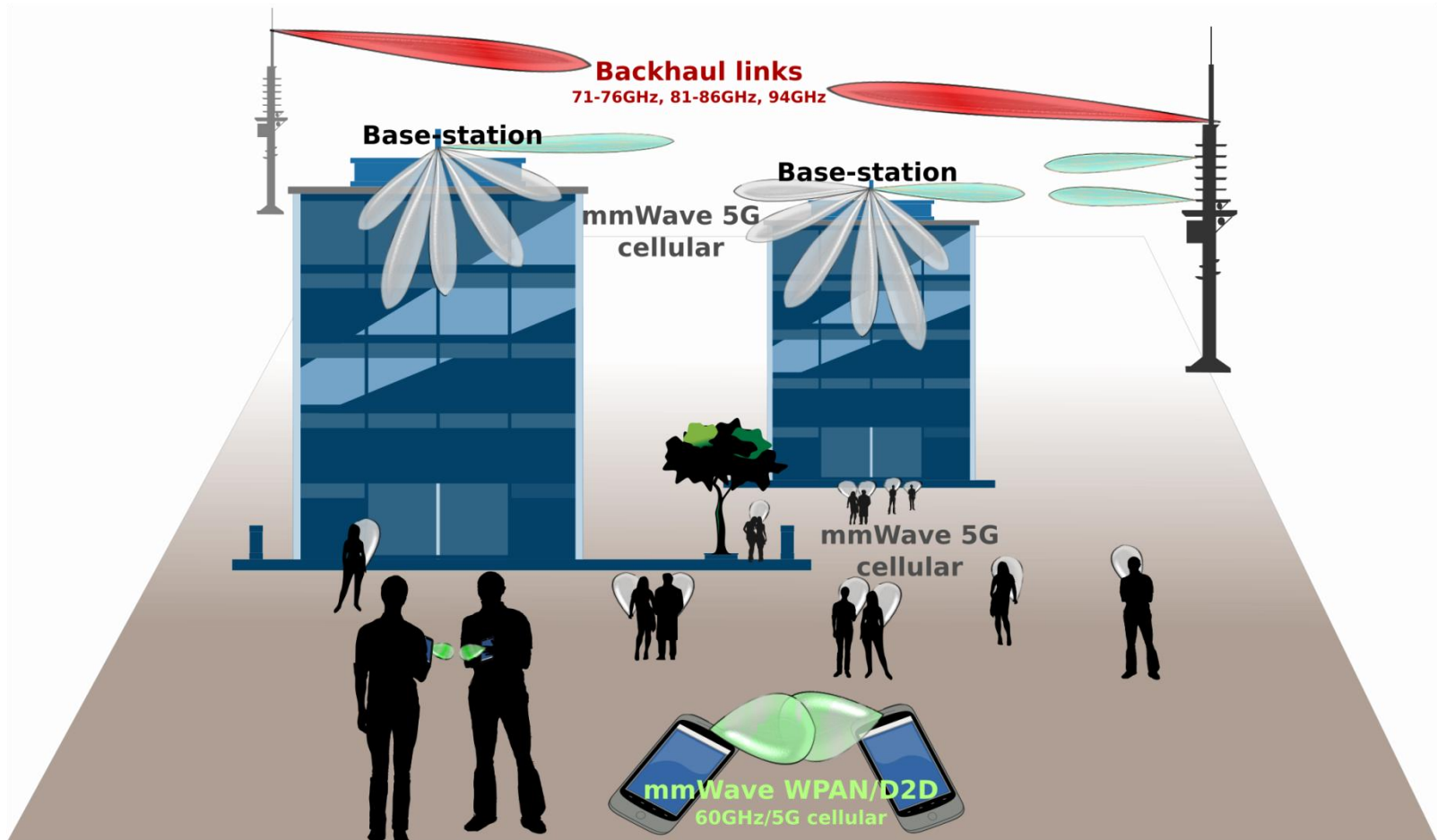
- Higher data rate
 - Larger bandwidth
 - Less interference

What's stopping us then?

It is hard...

Challenges of mmWave	Mitigation strategy
Greater propagation loss	Use phased array
Large loss in interconnects	Integrate antennas with IC
Technology limits	Co-design: IC, package, antenna, DSP
Expensive to test	Use on-chip testing infrastructure (DFT)

Gb/s mmWave Wireless Links: Applications across the infrastructure stack



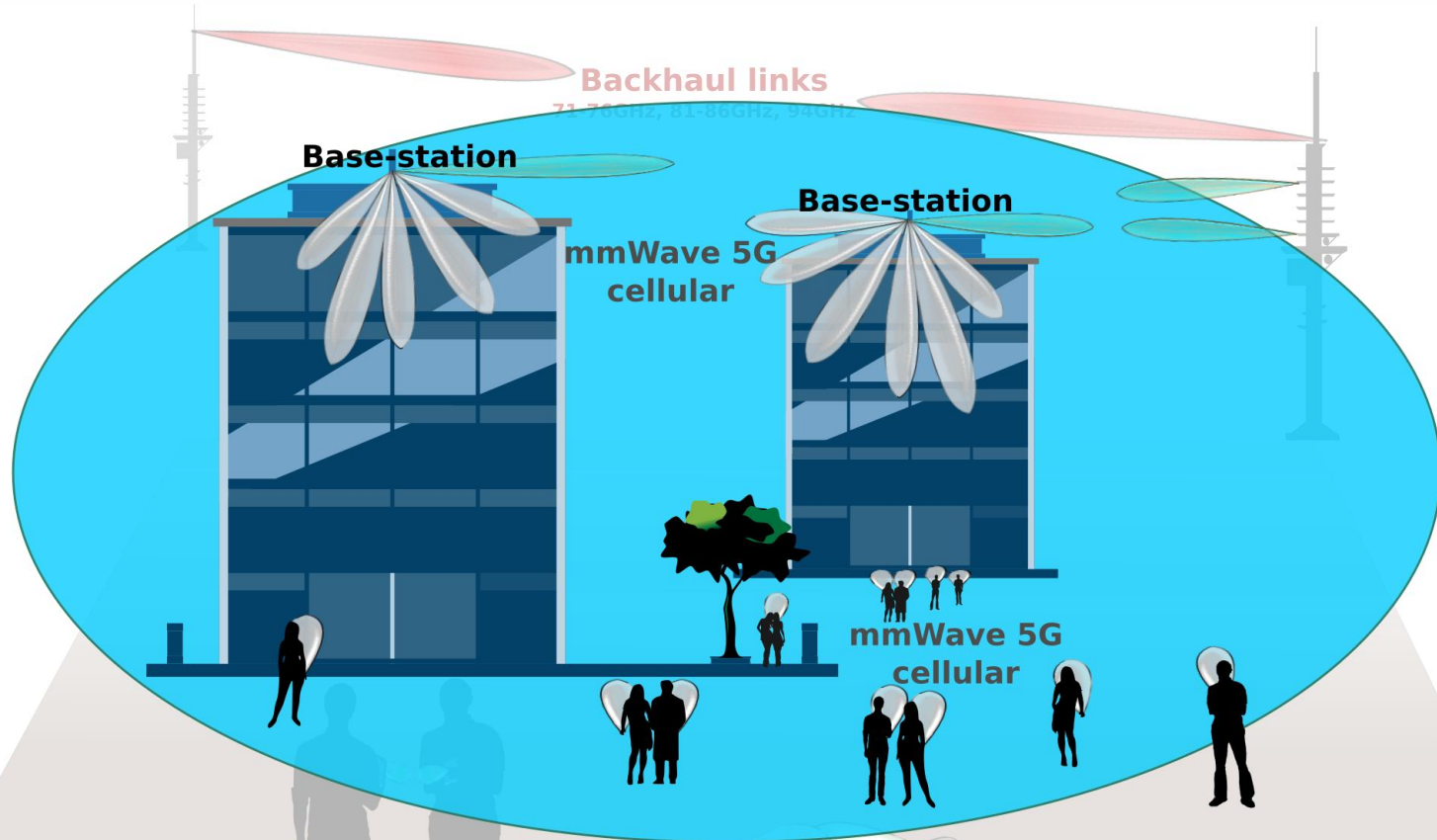
mmWave-based 5G network concept:

Ericsson: E. Dahlman, et al., "5G Radio Access," Ericsson Review, June, 2014

Samsung: W. Roh, et al., "Millimeter-wave beamforming as an enabling technology for 5G cellular communications: theoretical feasibility and prototype results," in IEEE Communications Magazine, Feb, 2014

mmWave 5G cellular / WLAN

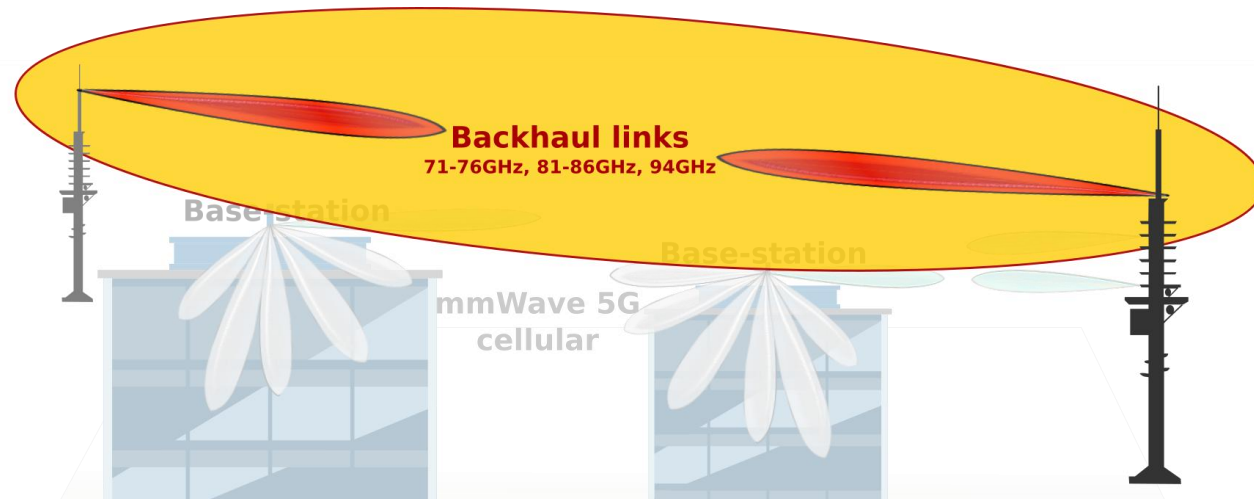
Packaged silicon hardware at IBM Watson labs



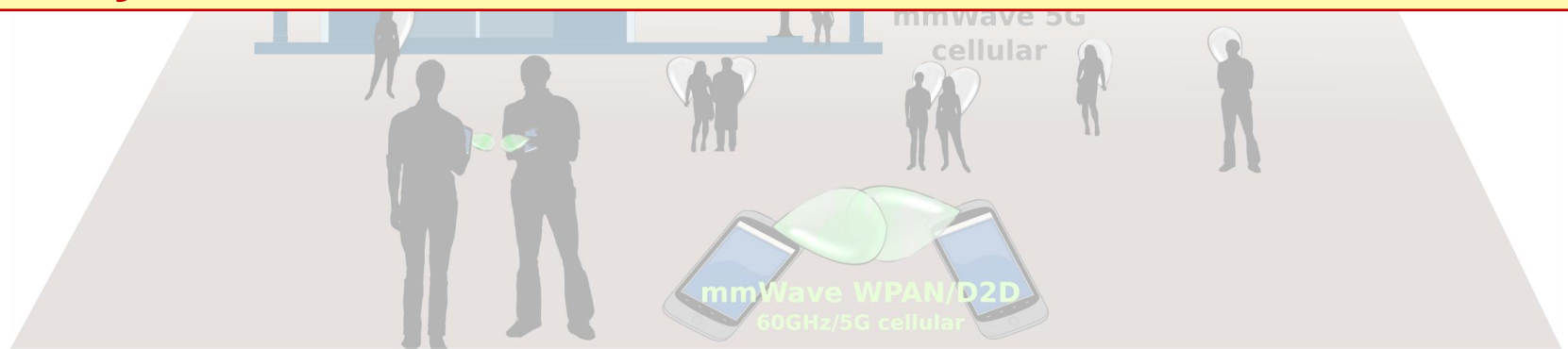
Example silicon hardware: A 60GHz 16-element phased-array TX and RX chipset

mmWave Backhaul Links

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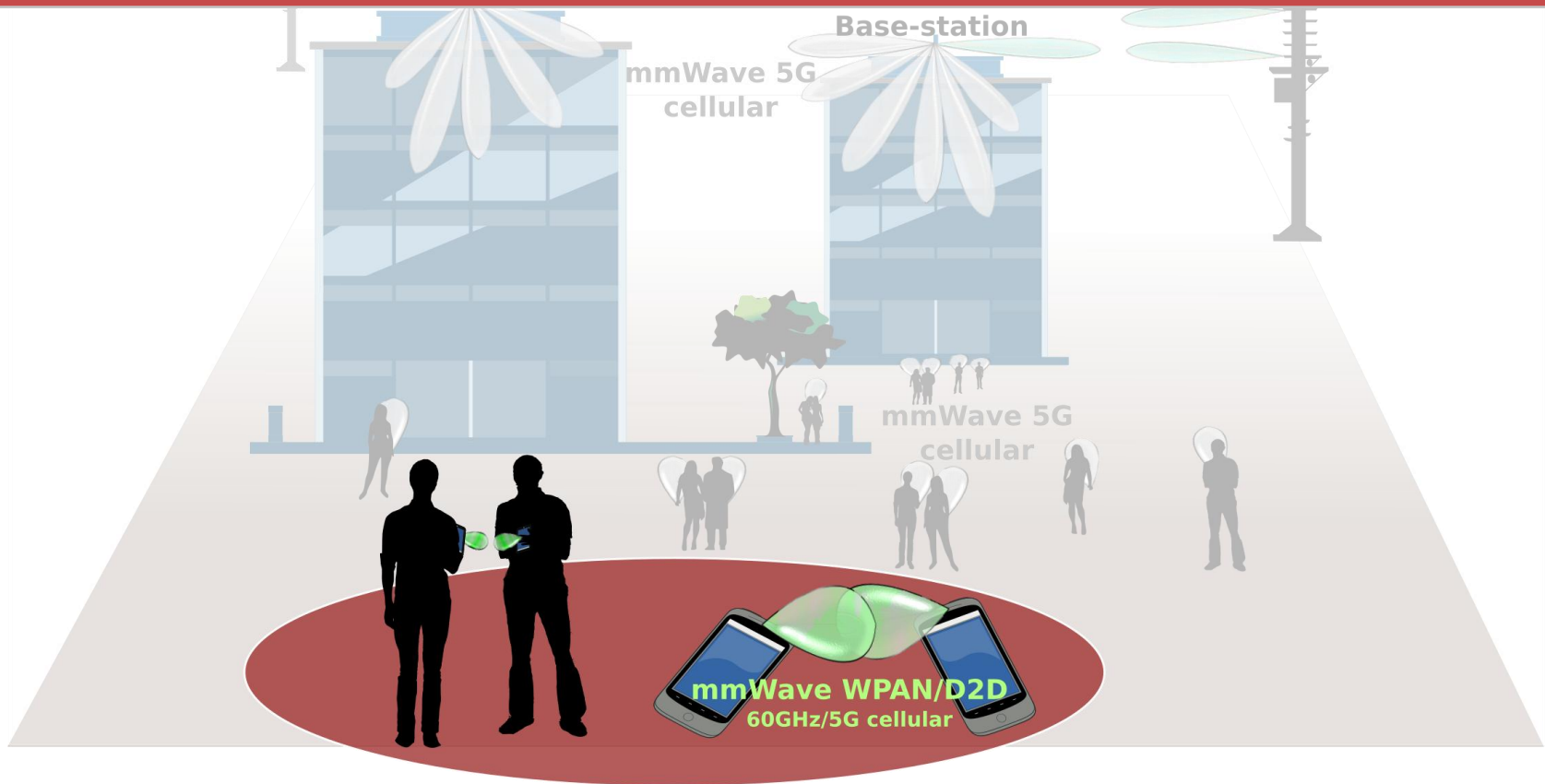
Example silicon hardware: A scalable 94GHz phased-array TRX



WPAN / Device to Device (D2D)

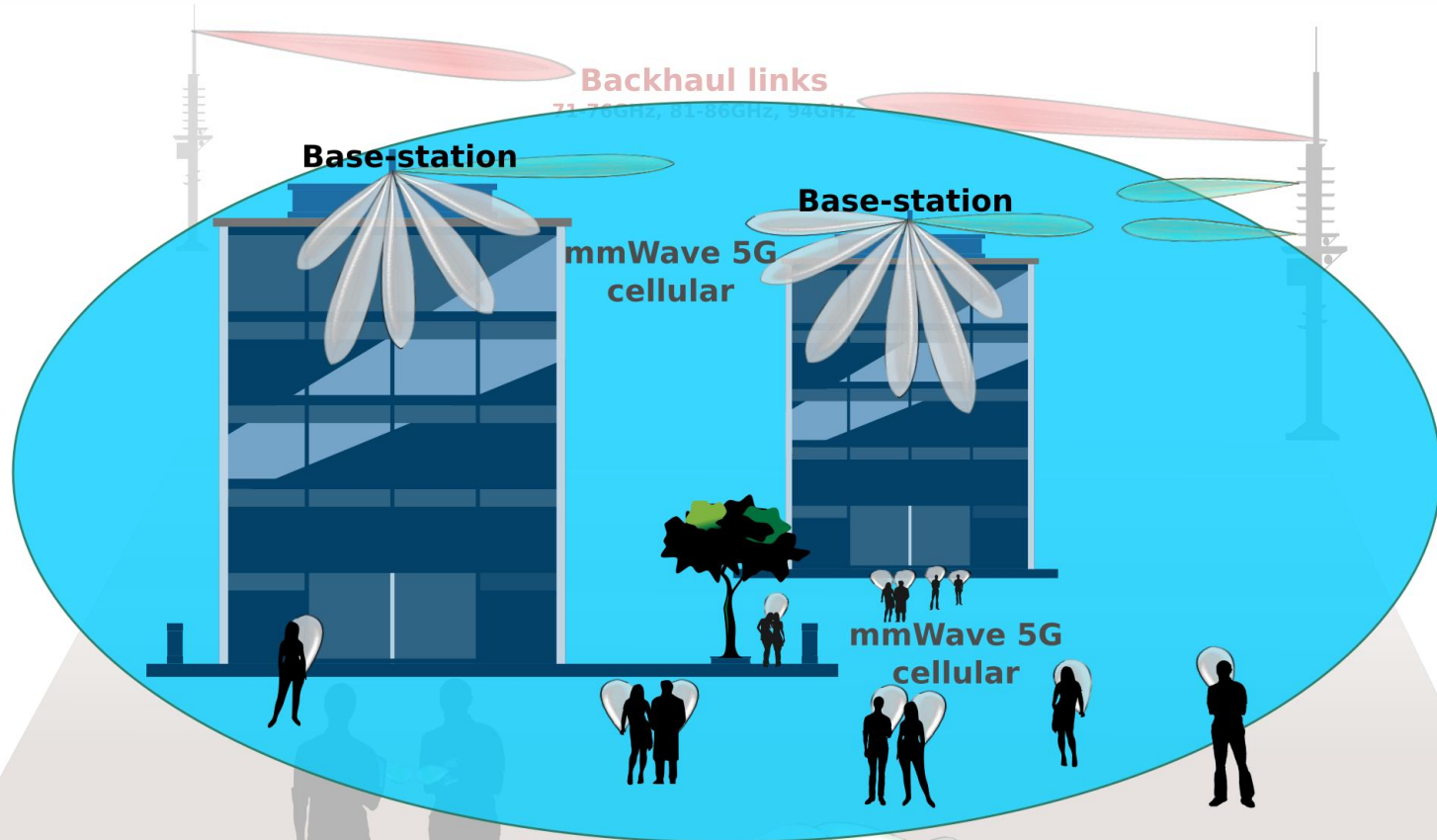
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Example silicon hardware: A 60GHz switched beam single-element TRX



mmWave 5G cellular / WLAN

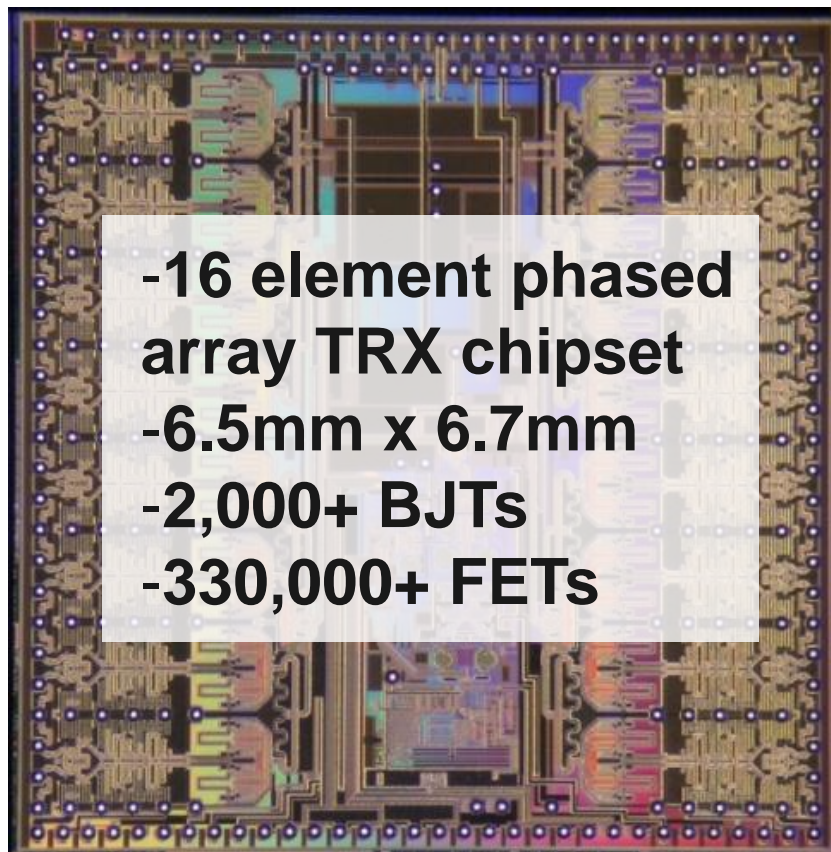
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Example silicon hardware: A 60GHz 16 element phased-array TX and RX chipset

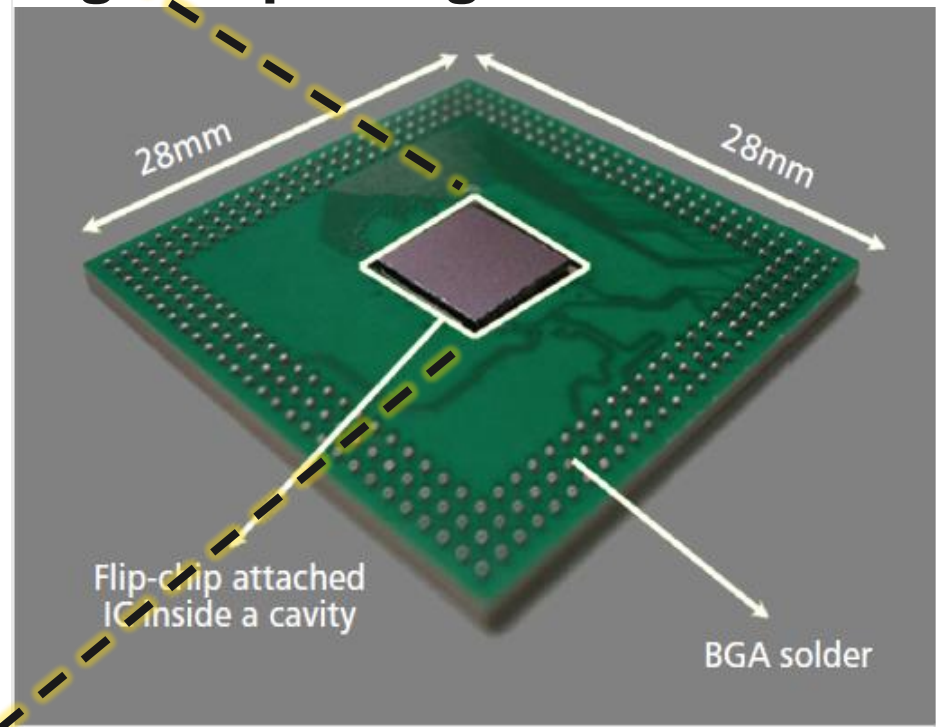
60 GHz 16-Element Phased Array TX and RX Chipset

Highly integrated IC and package co-design

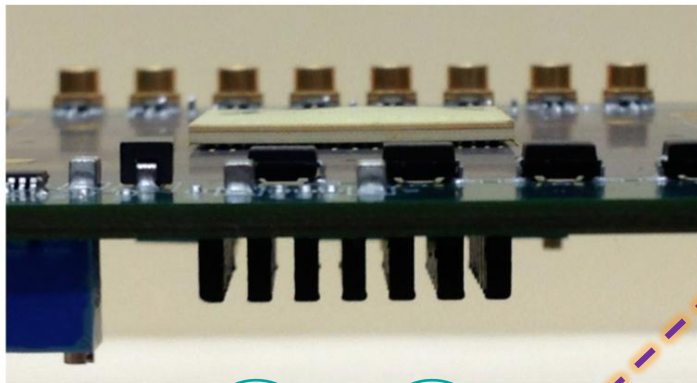


- 16 element phased array TRX chipset
- 6.5mm x 6.7mm
- 2,000+ BJTs
- 330,000+ FETs

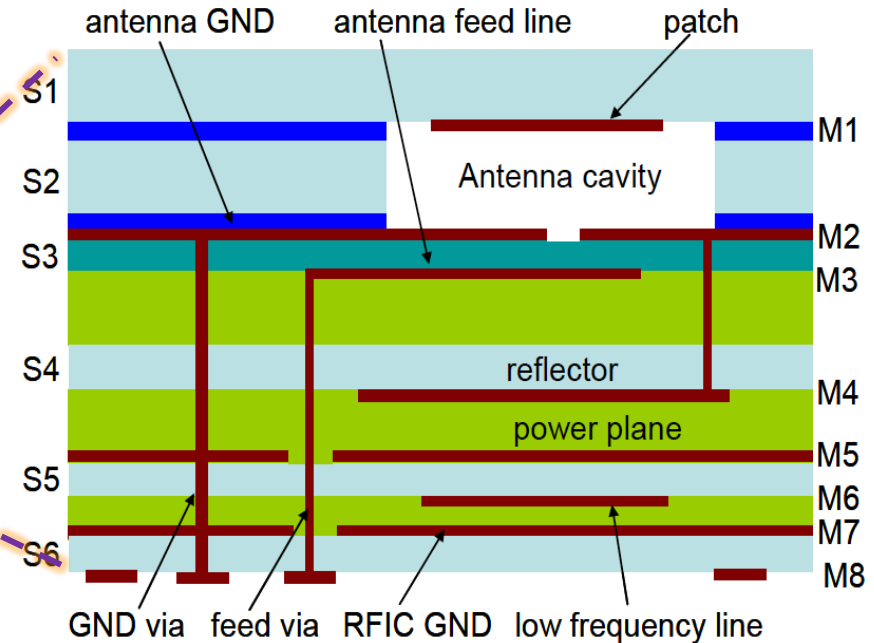
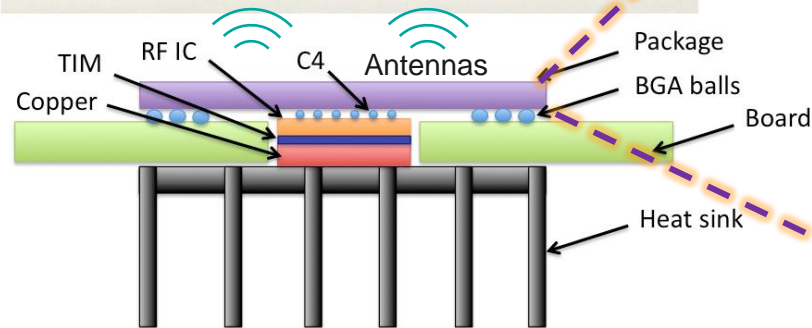
Fully integrated multilayer organic package



Tight Co-design of IC, Package, Board and Cooling Solution



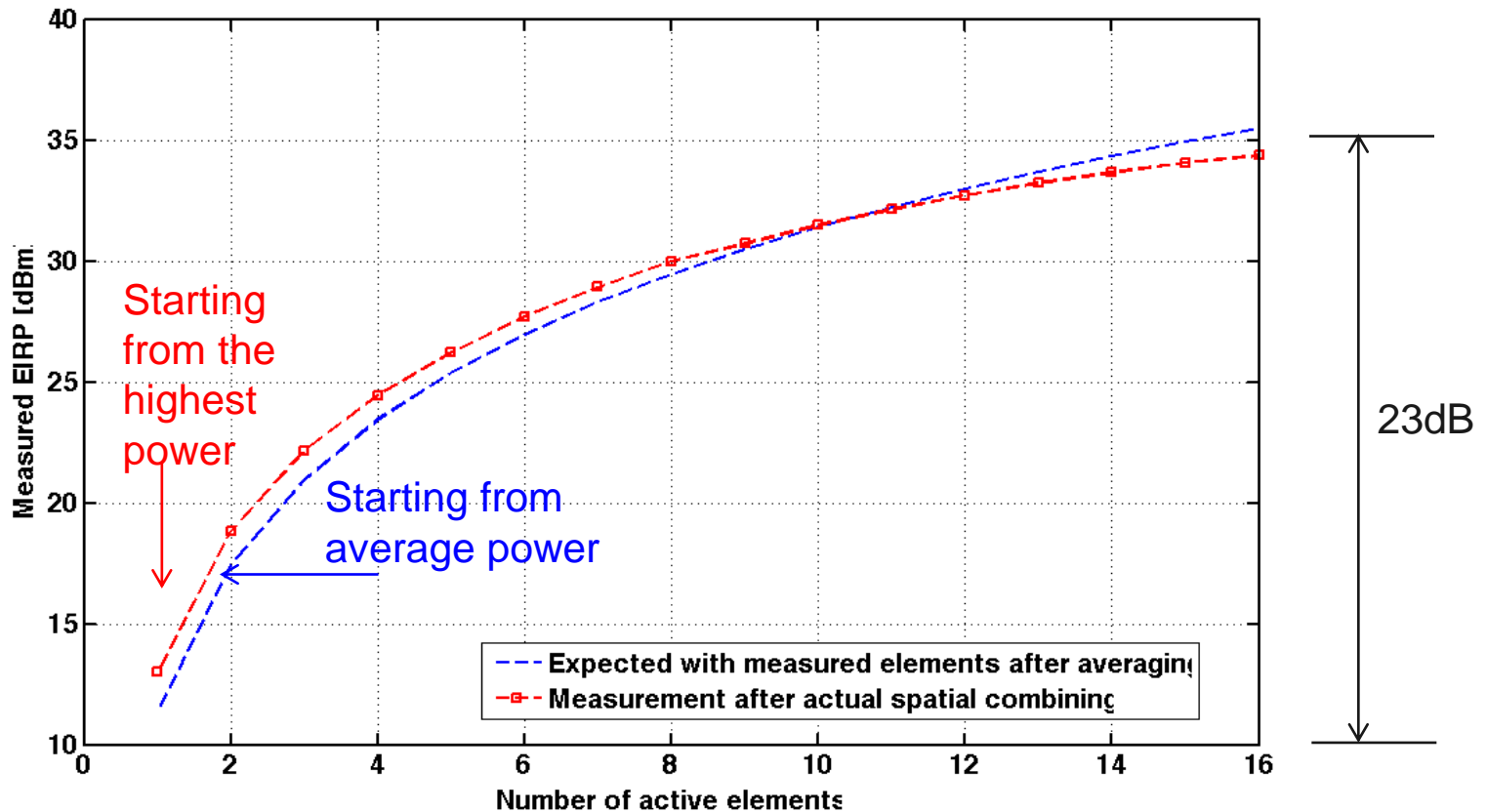
Package cross-section



- The MLO package houses the IC and the 16 element phased array antennas

X. Gu et al., "Enhanced Multilayer Organic Packages with Embedded Phased-Array Antennas for 60-GHz Wireless Communications", IEEE ECTC 2013

Phased Array EIRP Grows as (# of Elements)² Overcomes mmWave path loss



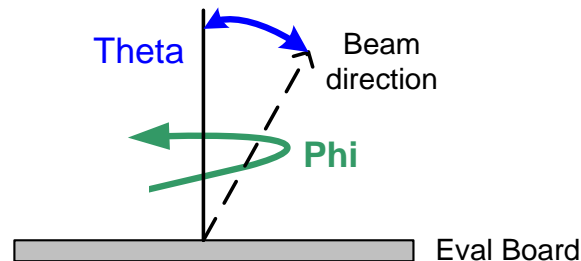
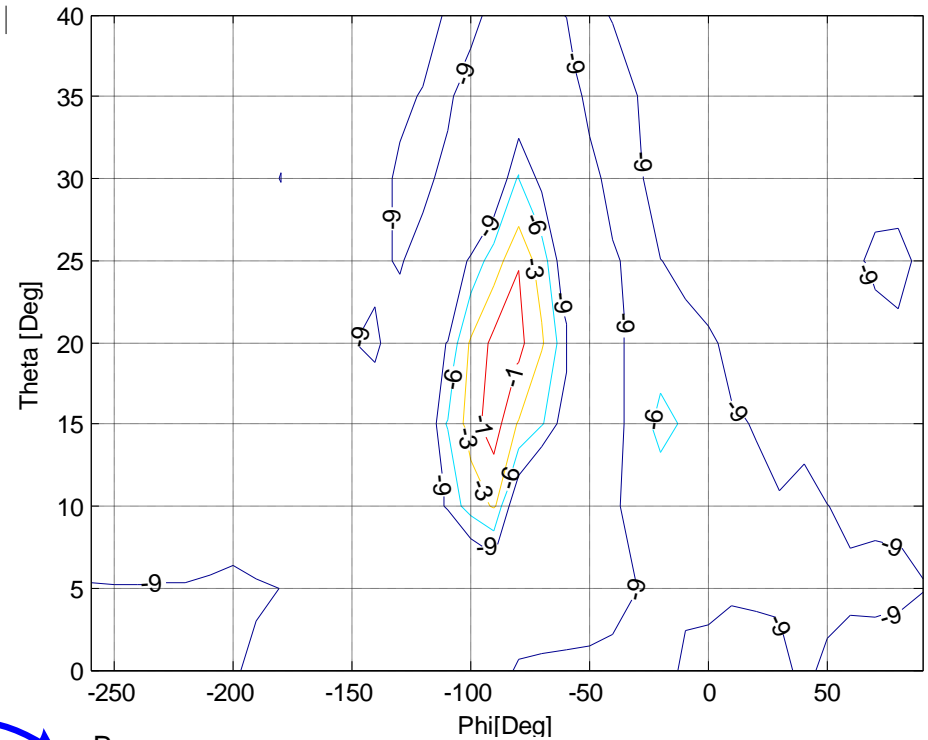
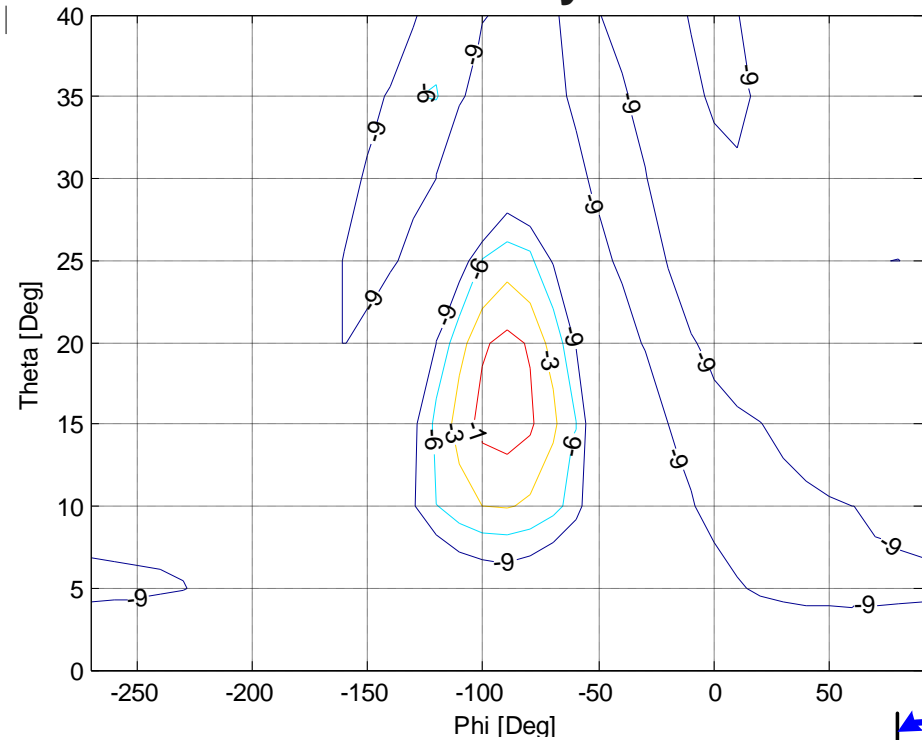
- V1.0: 42dBm equivalent isotropically radiated power while consuming 3.4W
- V2.0: 34dBm equivalent isotropically radiated power while consuming 2.7W
- 23dB increase from spatial combining [$20\log(16) = 24\text{dB}$ expected from theory]

16-Element Tx Radiation Patterns

Measured in two angular dimensions

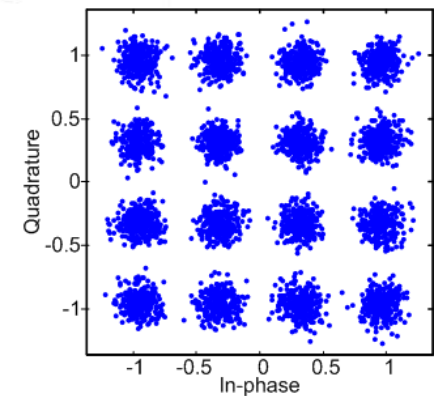
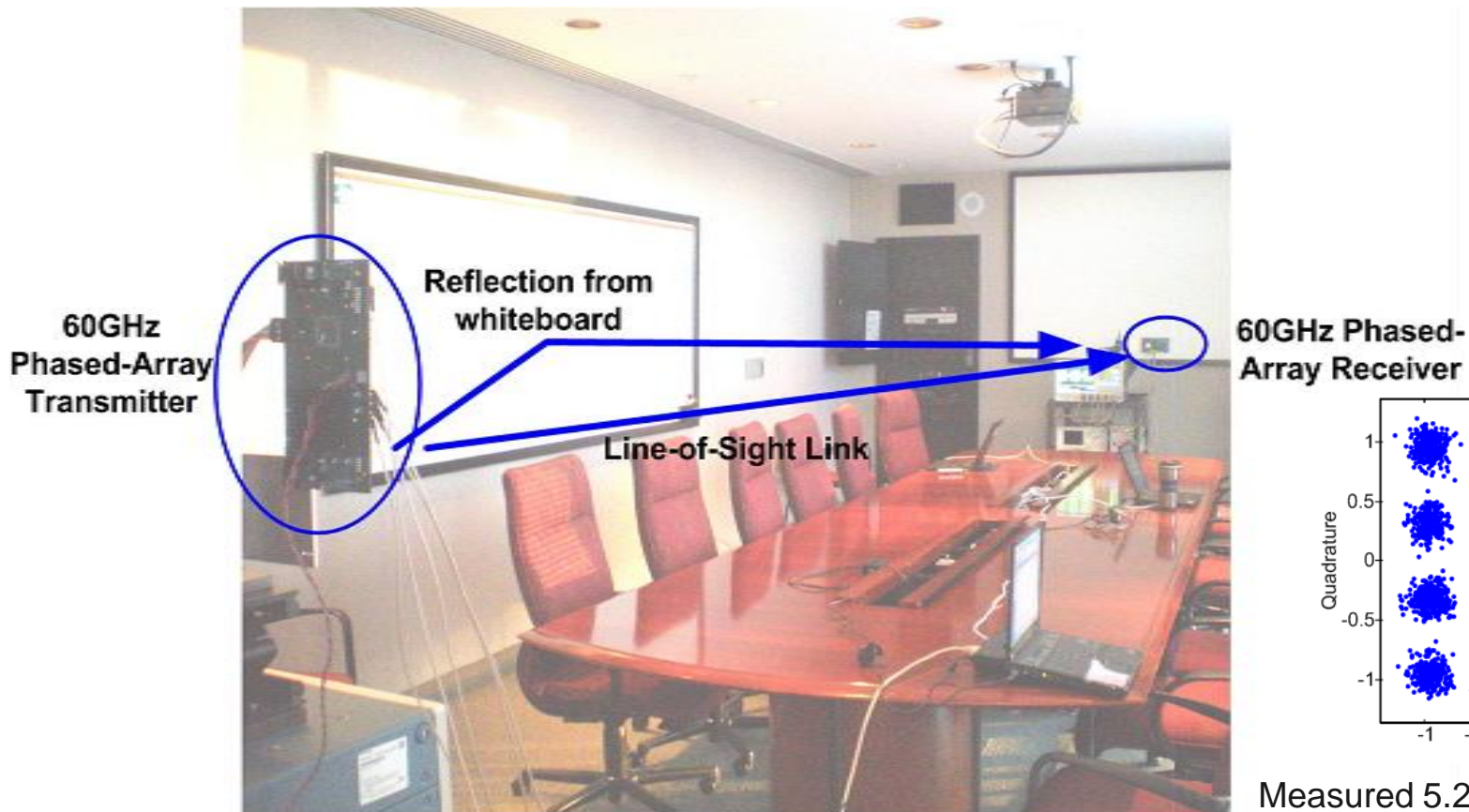
Theory

Measured



Link Demo over NLOS Channel

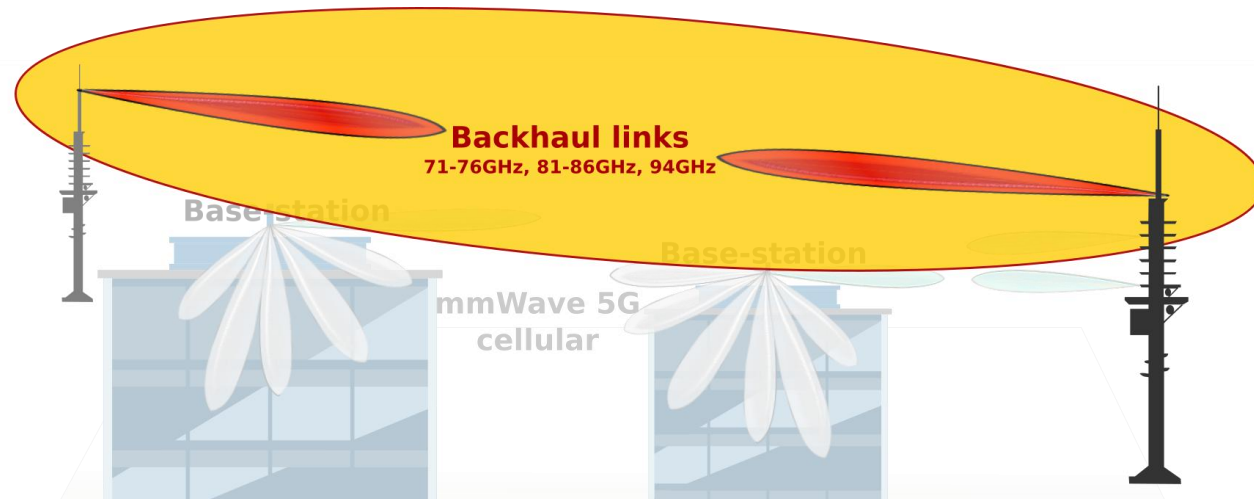
>5Gbps data link in all 4 60GHz channels



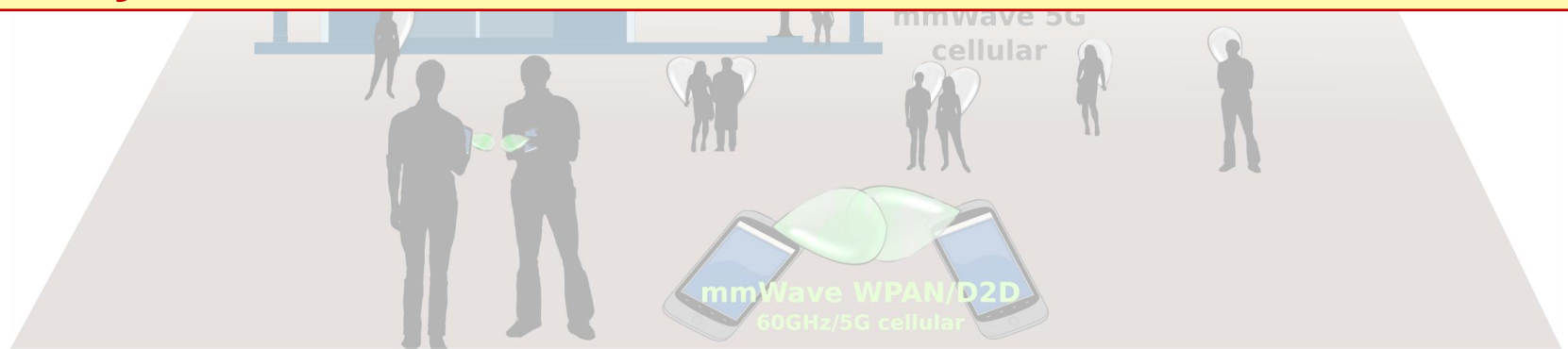
Measured 5.29Gb/s 16-QAM OFDM constellation for a 9m non-line-of-sight (NLOS) link for all 4 channels -- < -17dB EVM

mmWave Backhaul Links

Packaged silicon hardware at IBM Watson labs

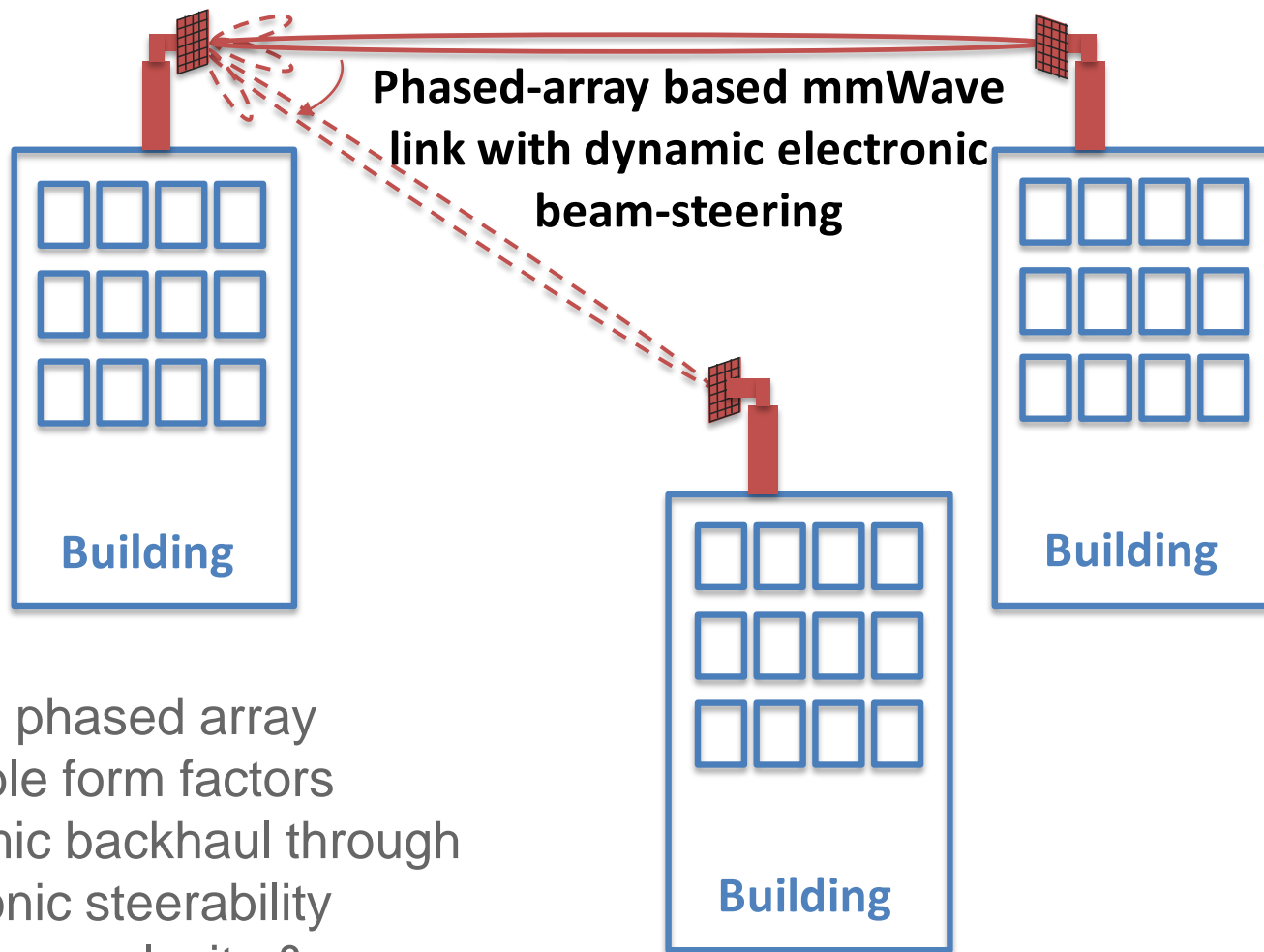


Example silicon hardware: A scalable 94 GHz phased-array TRX



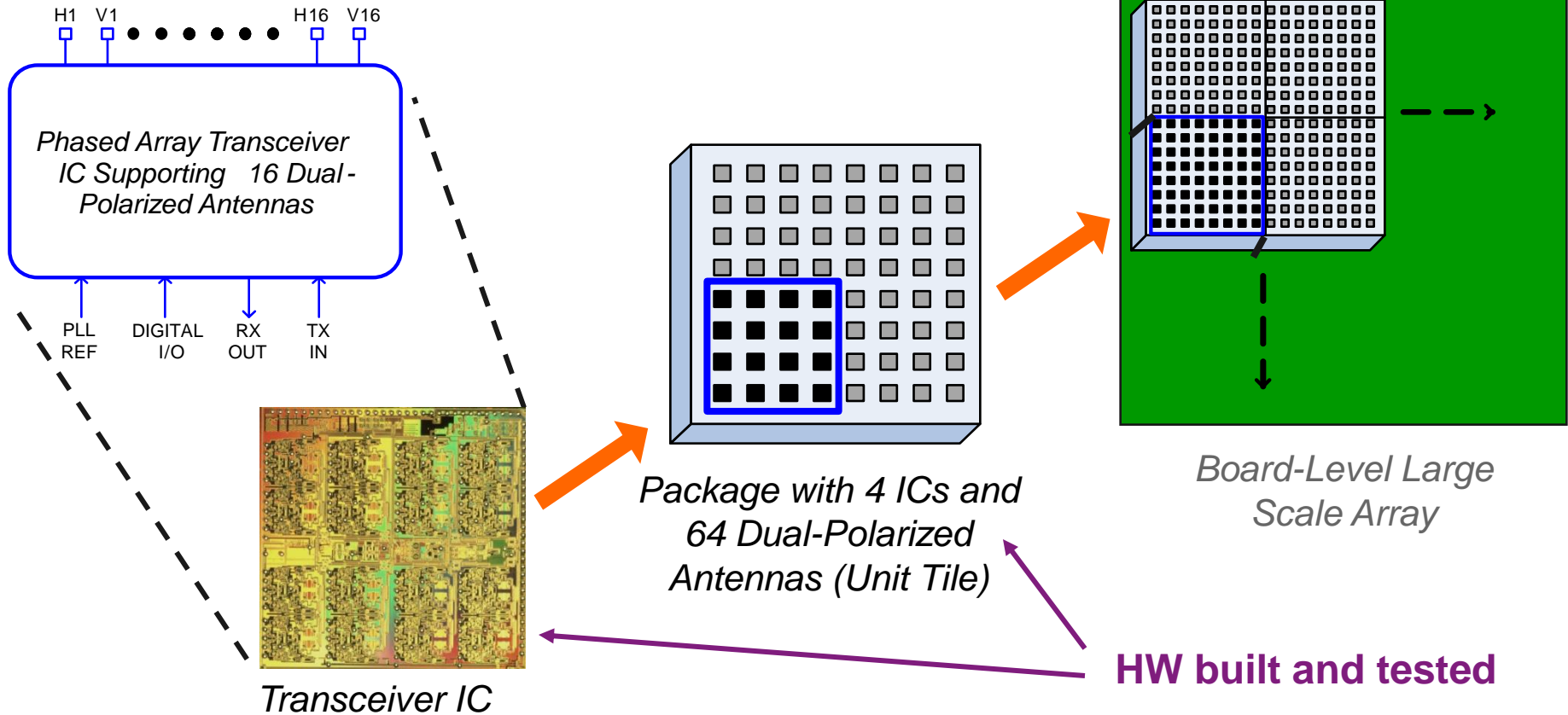
Dynamic Phased Array Backhaul

Reconfigurable and dynamic



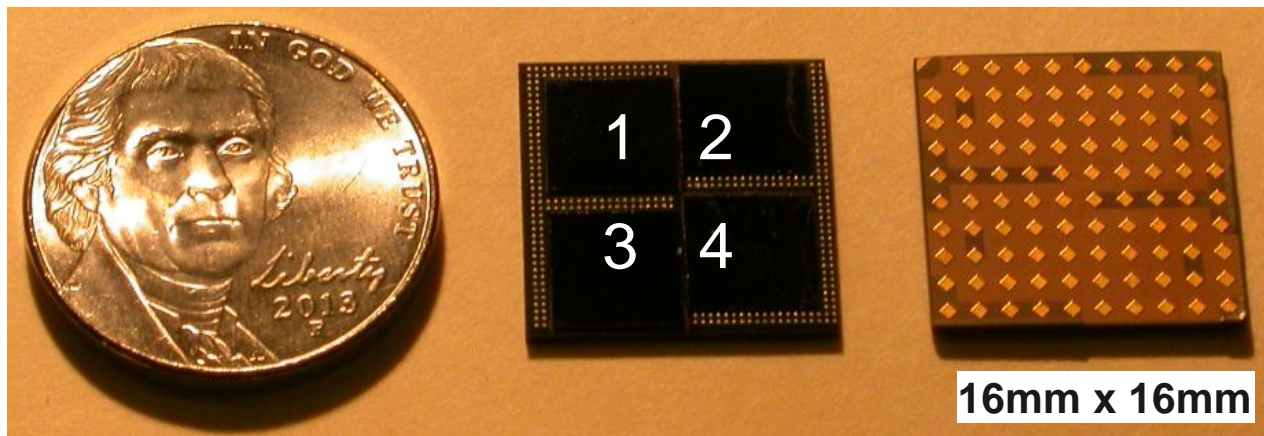
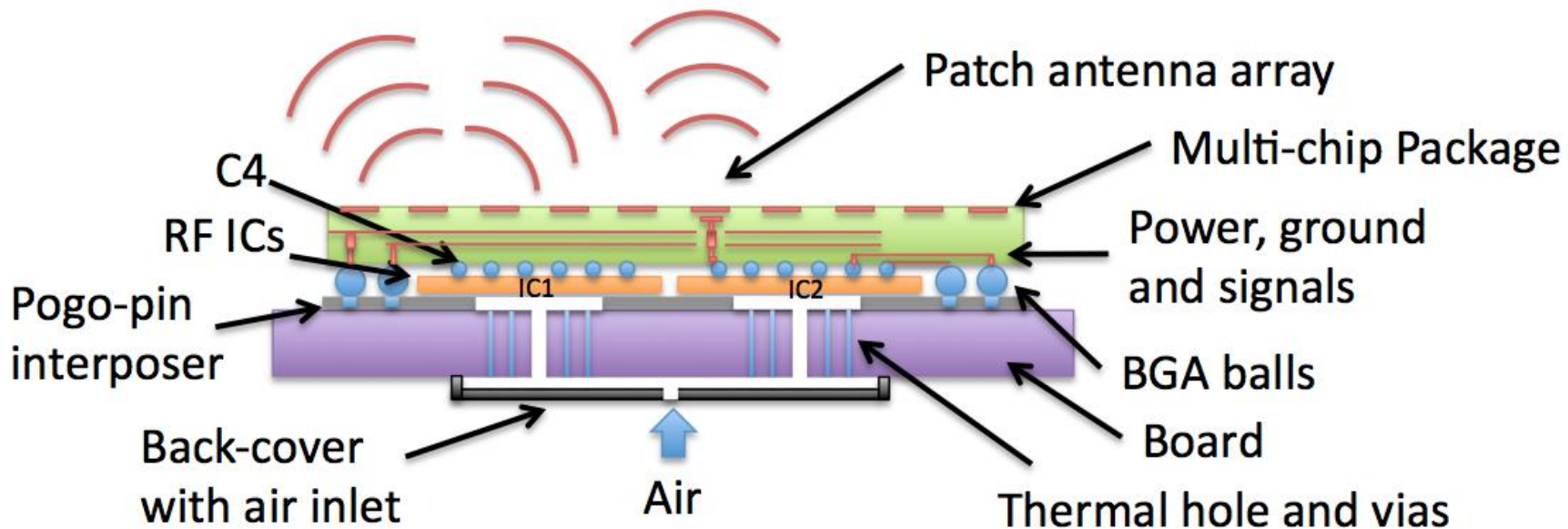
- Scalable phased array
 - Scalable form factors
 - Dynamic backhaul through electronic steerability
 - Higher complexity & power

Scalable Phased Array Concept



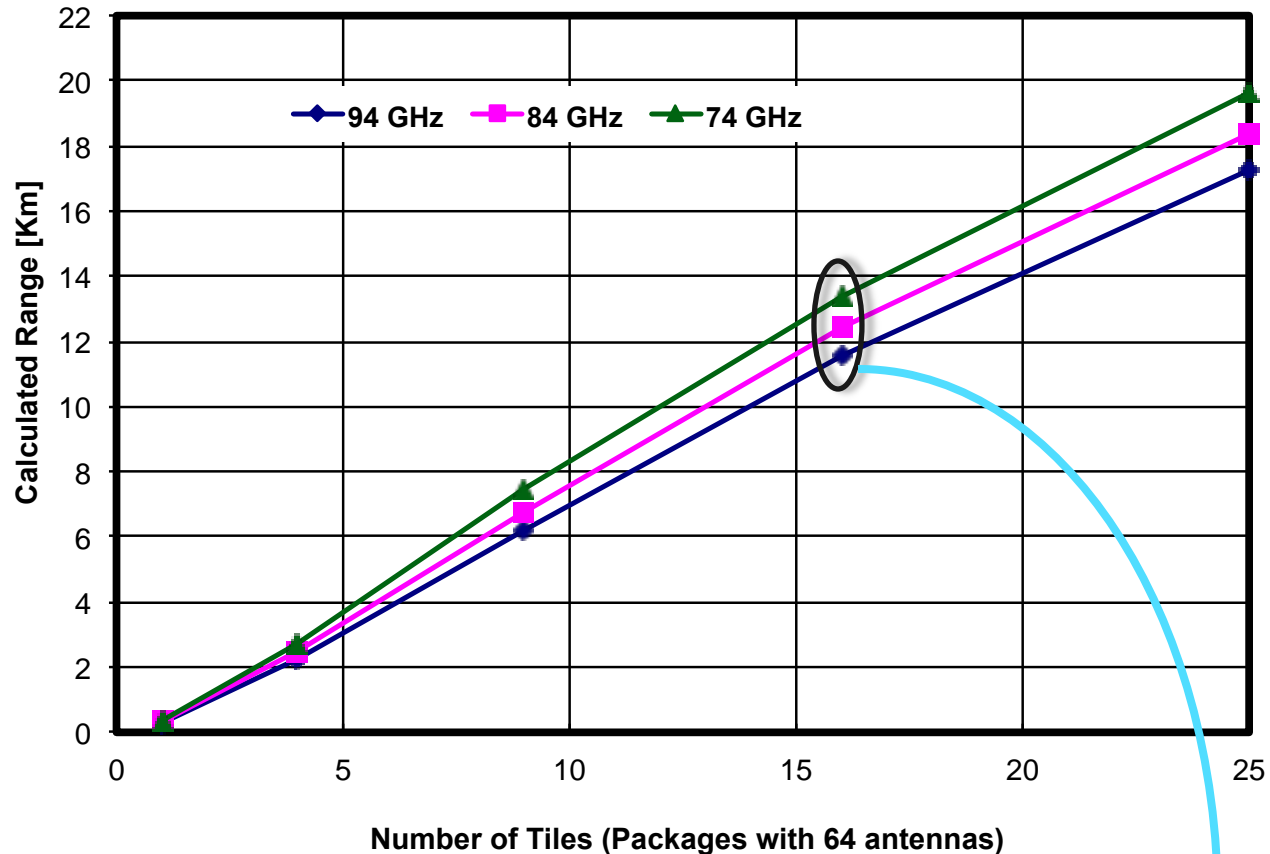
By tiling packages adjacent to one another on a PCB, **phased arrays of large aperture** can be created.

Compact Packages with 4 Transceiver ICs and 64 Dual-Polarized Antennas



Can Achieve 10+km Range Using Silicon

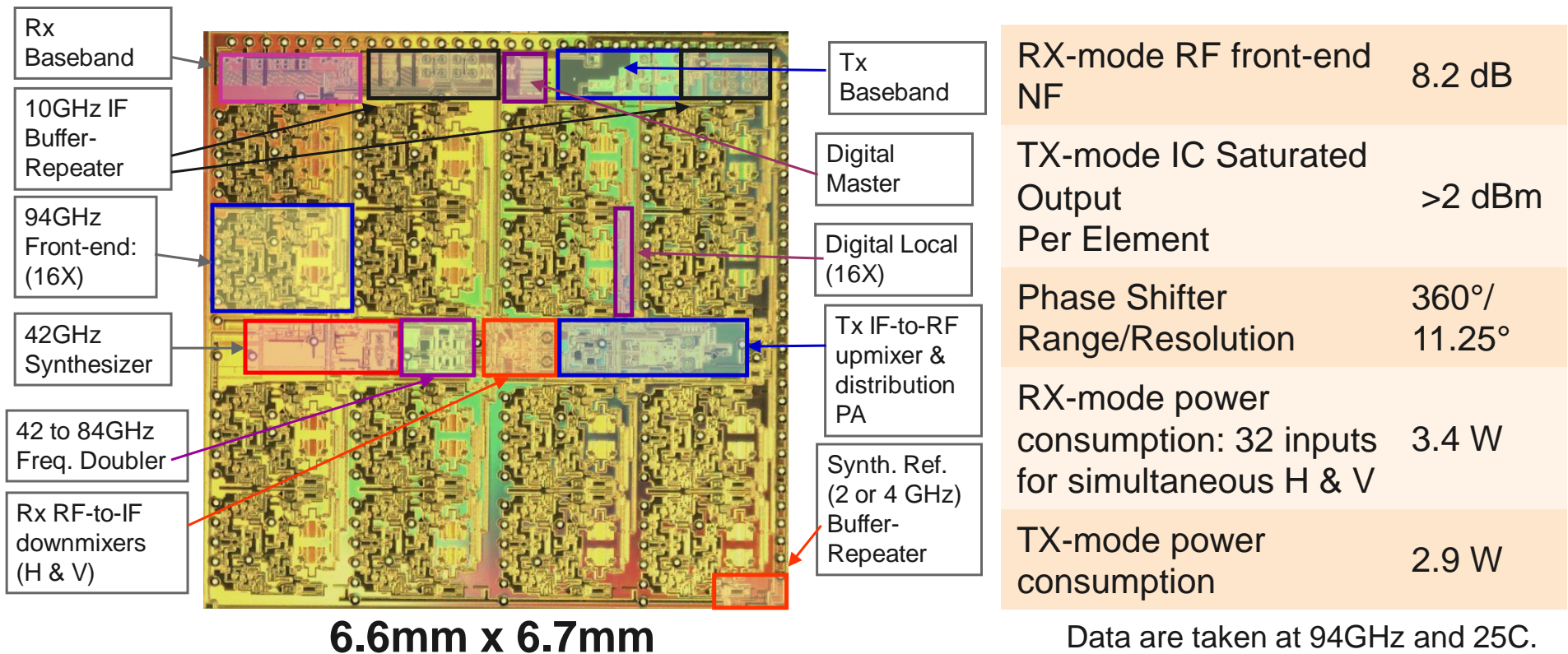
Calculated Link Budget for E-Band Scalable Phased Array



Example take-away: 1024 elements are required for a 10km link

X. Gu, et al., "W-band Scalable Phased Arrays for Imaging and Communications", IEEE Communication Magazine, April 2015

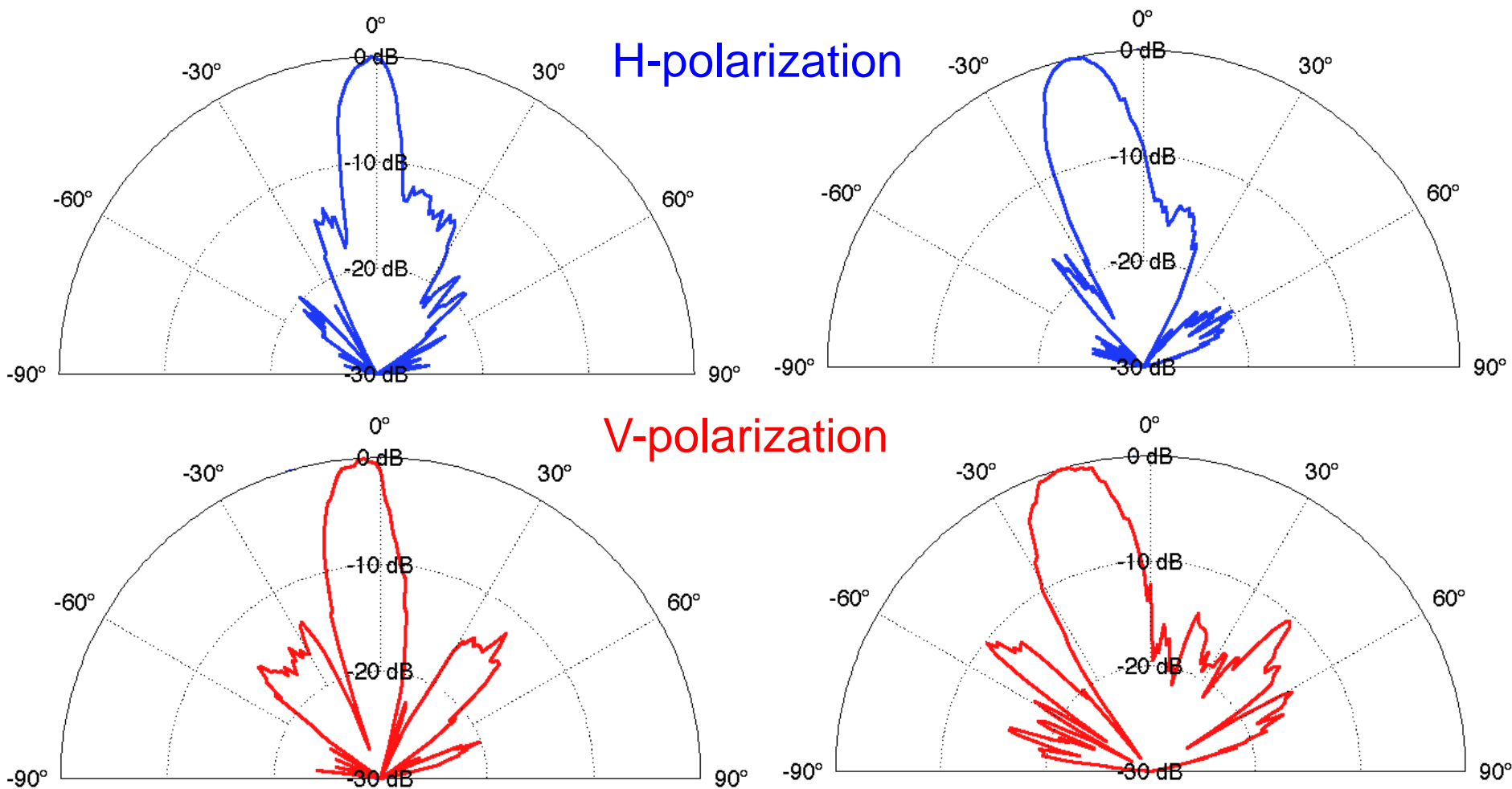
Single IC Integrates the 94GHz 16-Element Dual-Polarized Phased-Array Transceiver



- This IC integrates 5.1K+ BJTs and 1.5M+ FETs representing the highest level of monolithic integration at W-band frequencies

A. Valdes Garcia, *et al.*, "A Fully-Integrated Dual-Polarization 16-Element W-band Phased-Array Transceiver in SiGe BiCMOS" IEEE RFIC 2013

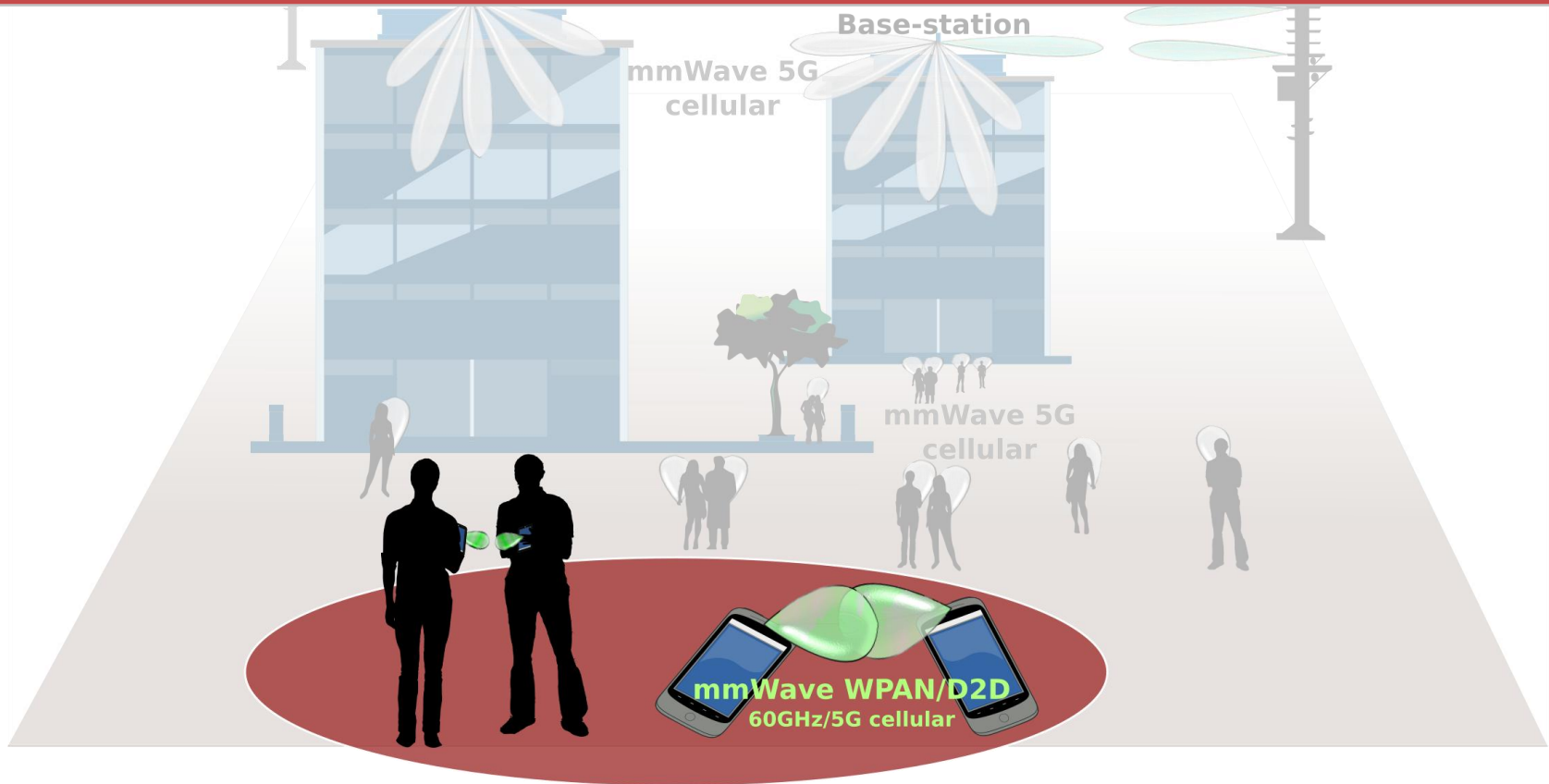
Measurements Show 64-Element Beamforming in Silicon



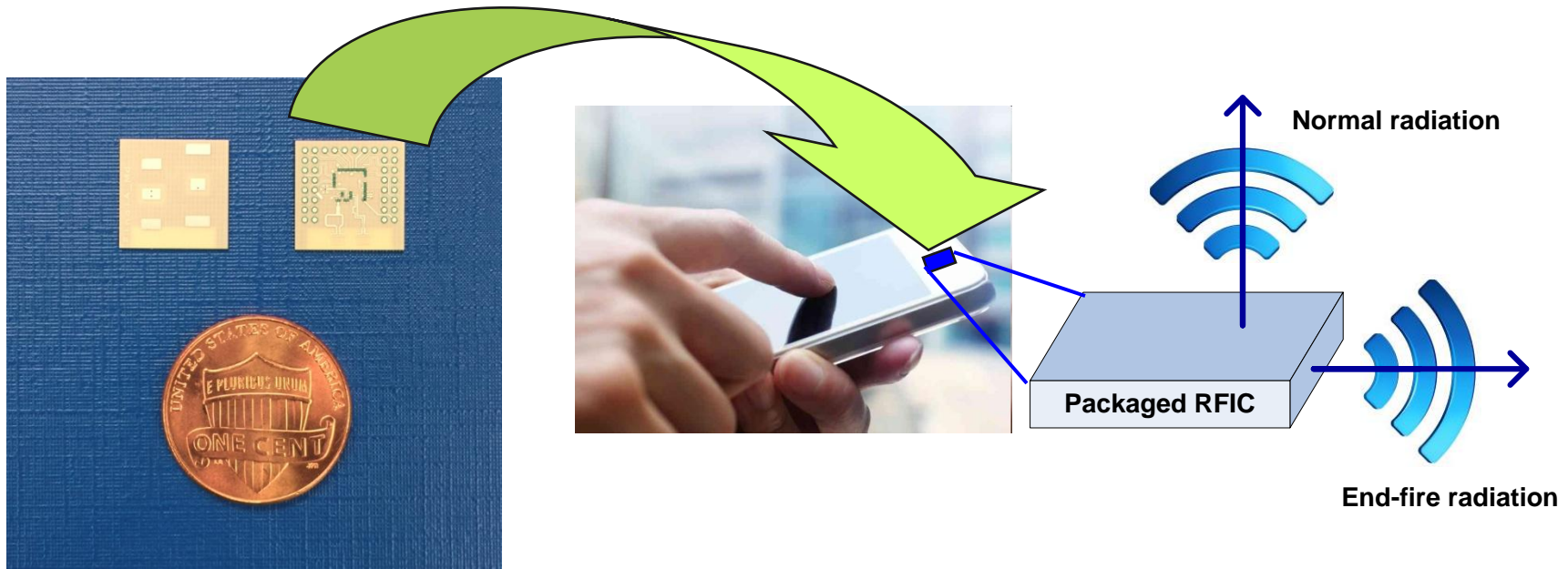
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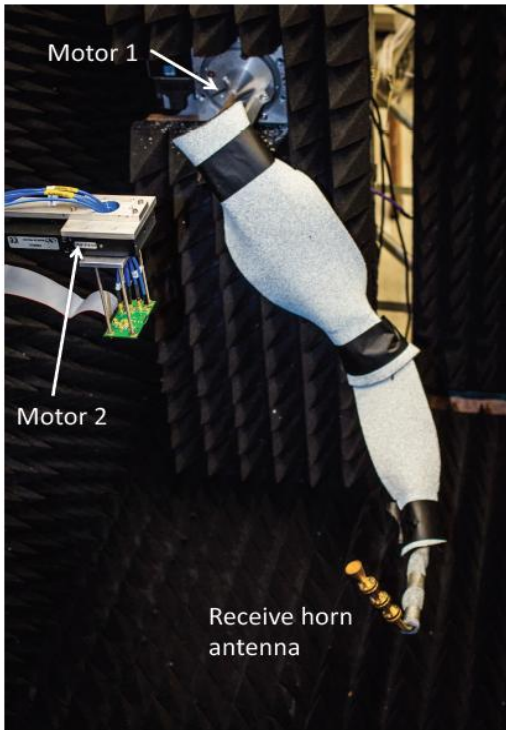


Antenna Diversity is Critical for Portable Devices



- Compact switched-beam transceiver concept: support for end-fire and normal radiation
- 3mm x 3mm CMOS IC, 11mm x 11mm package
- Low-power (<250mW) with 15dBm EIRP

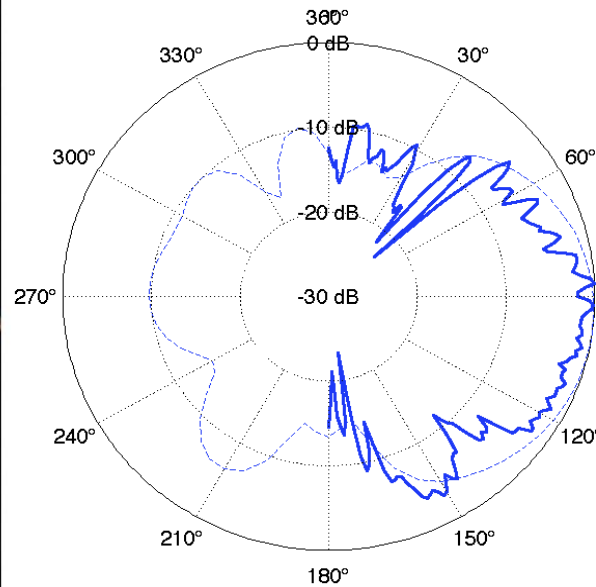
Measured Radiation Patterns for TX antennas (Gain in dBi)



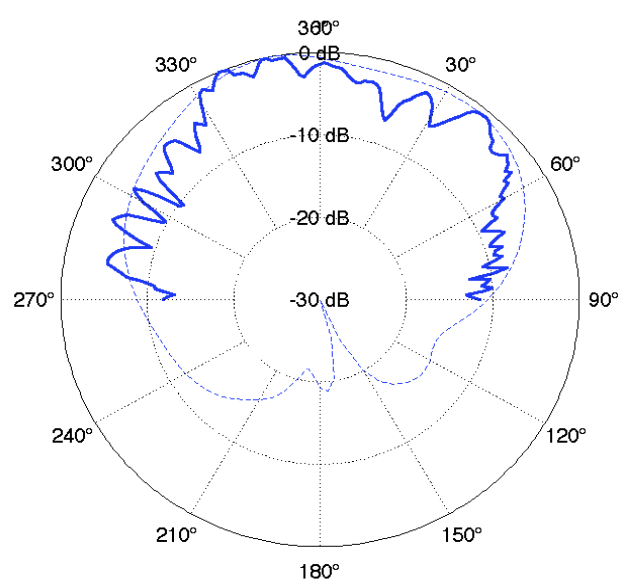
Antenna chamber setup

— Measurement

- - - Simulation



Yagi antenna
(End-fire direction)

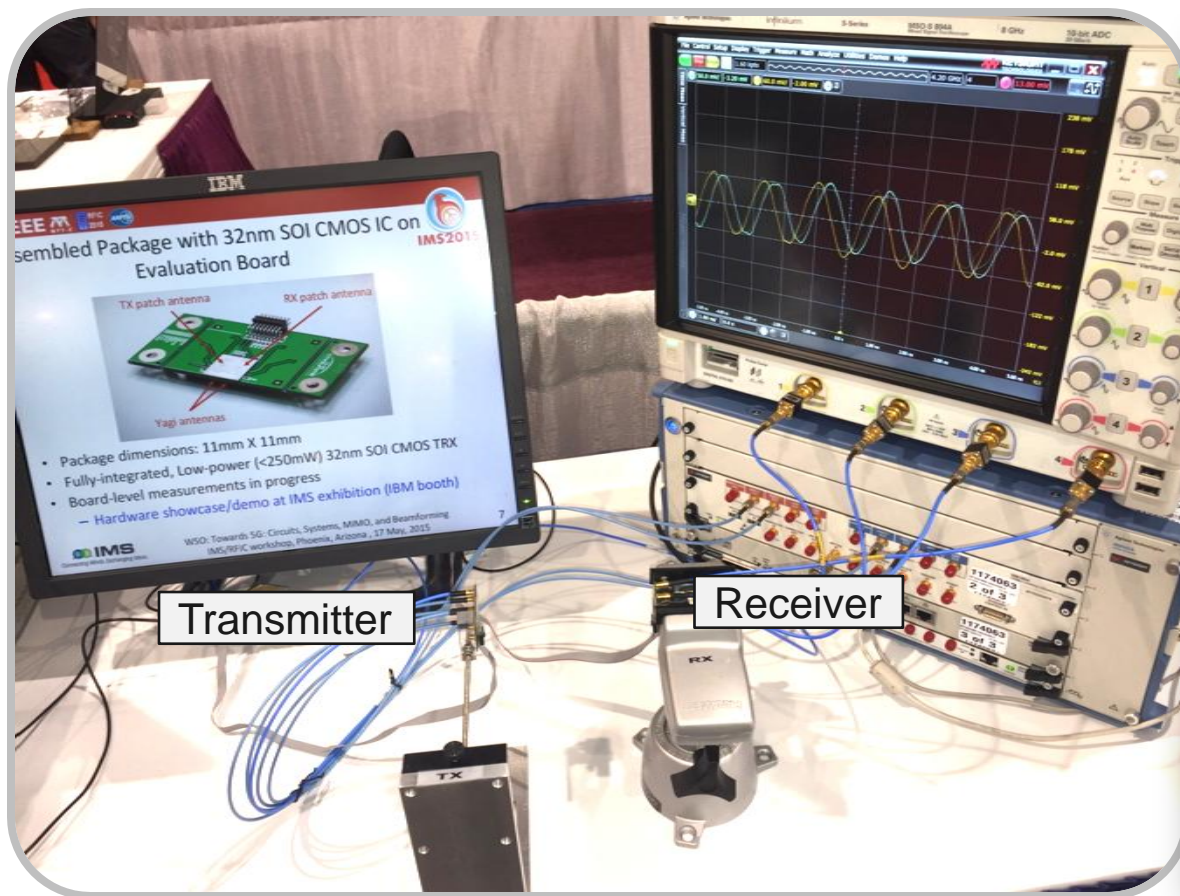


Patch antenna
(normal direction)

- Antennas cover ~270 degrees to provide maximum diversity

X. Gu et al., "A Multilayer Organic Package with Four Integrated 60GHz Antennas Enabling Broadside and End-Fire Radiation for Portable Communication Devices", IEEE ECTC 2015

Link Demonstration of 60GHz TRX at International Microwave Symposium Exhibition 2015



Demo overview and broad angle antenna coverage:

<https://www.dropbox.com/s/hepkxqyw97586xp/ims%20demo%201.mov?dl=0>

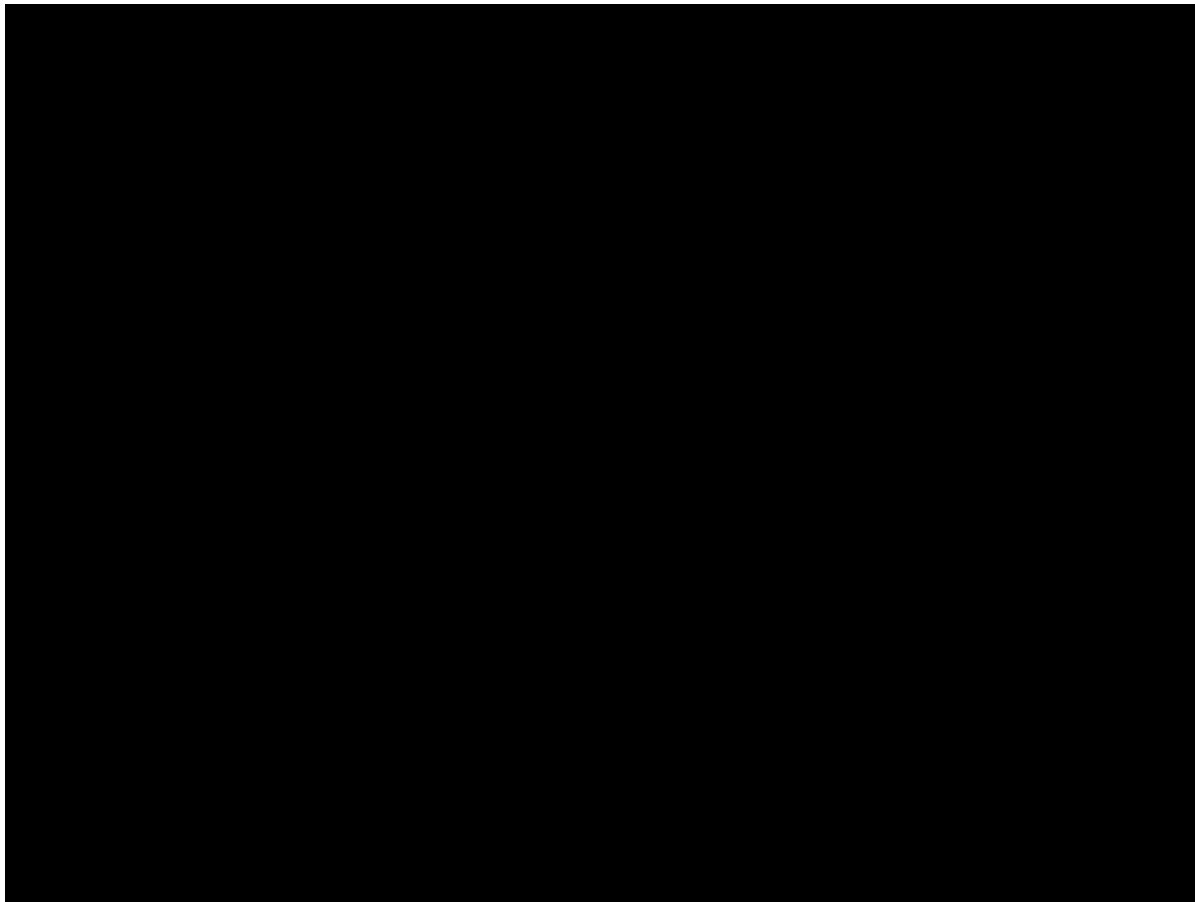
Penetration through wood and metal for possible imaging applications:

<https://www.dropbox.com/s/qlmqsmis3dif00x/ims%20demo%202.mov?dl=0>

Penetration through plastic, wood, human body for cellular applications:

<https://www.dropbox.com/s/3vvgm91jlmq2iazx/ims%20demo%203.mov?dl=0>

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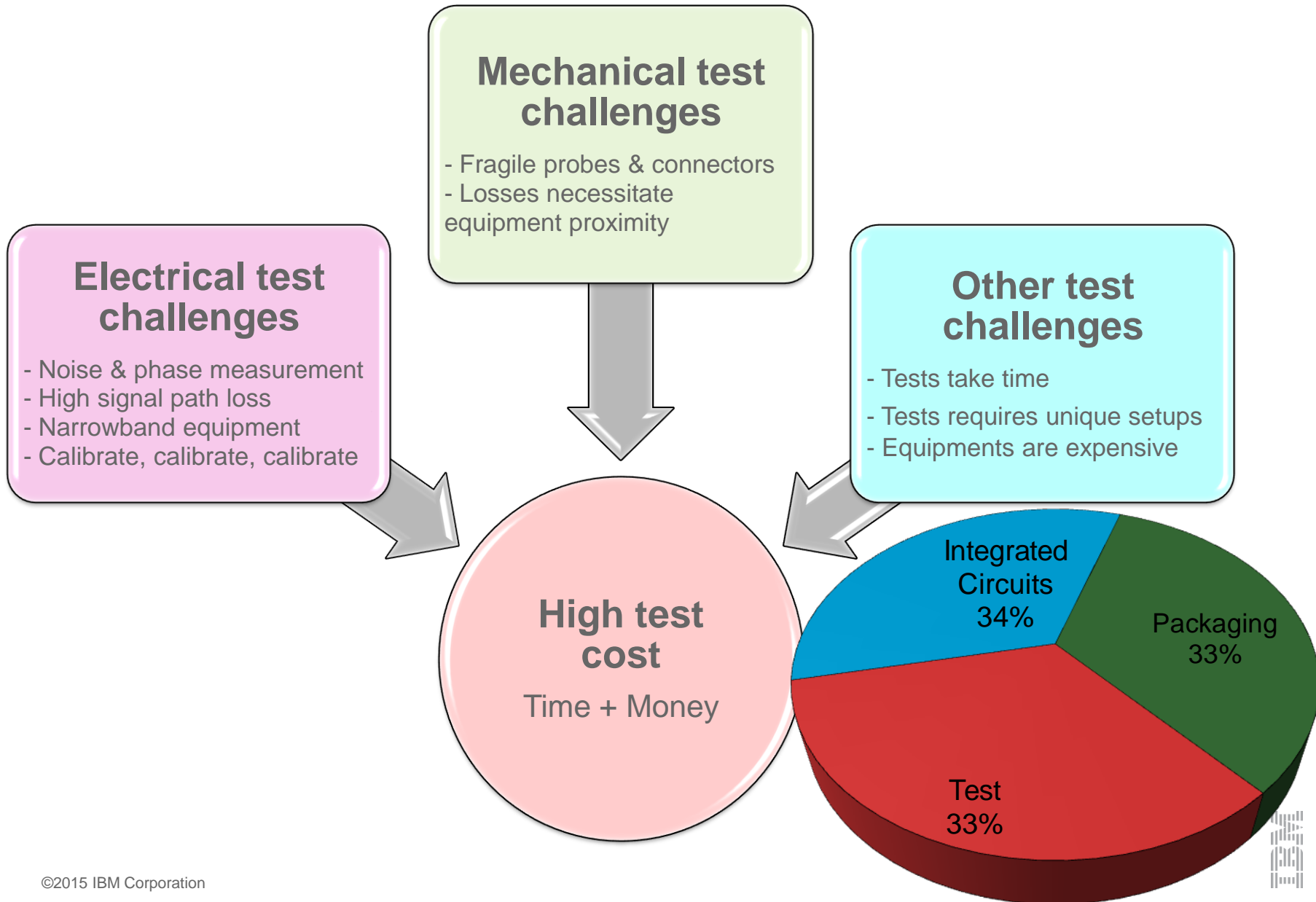
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mmWave Production Test Challenges



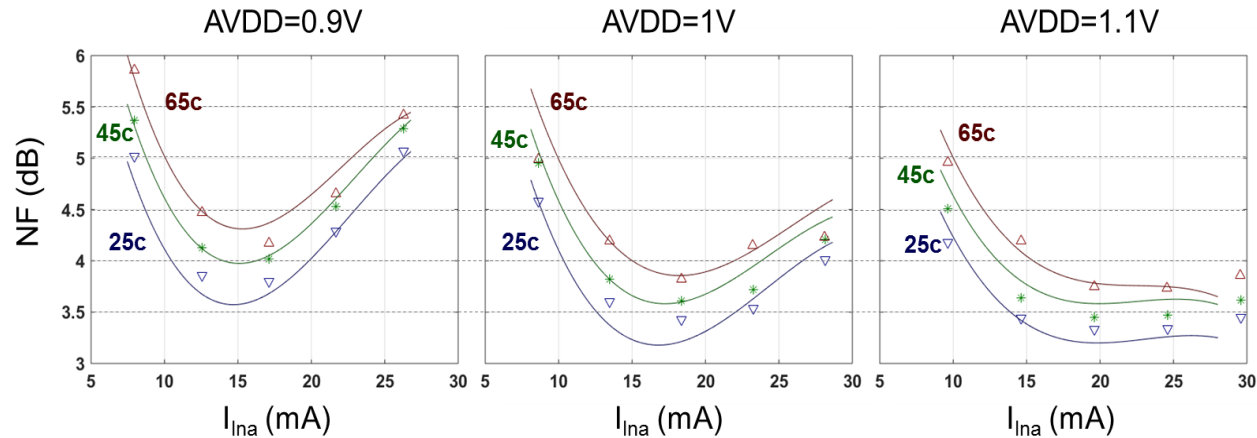
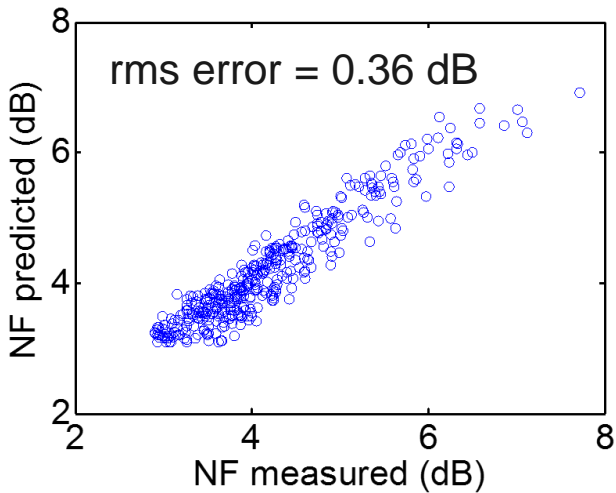
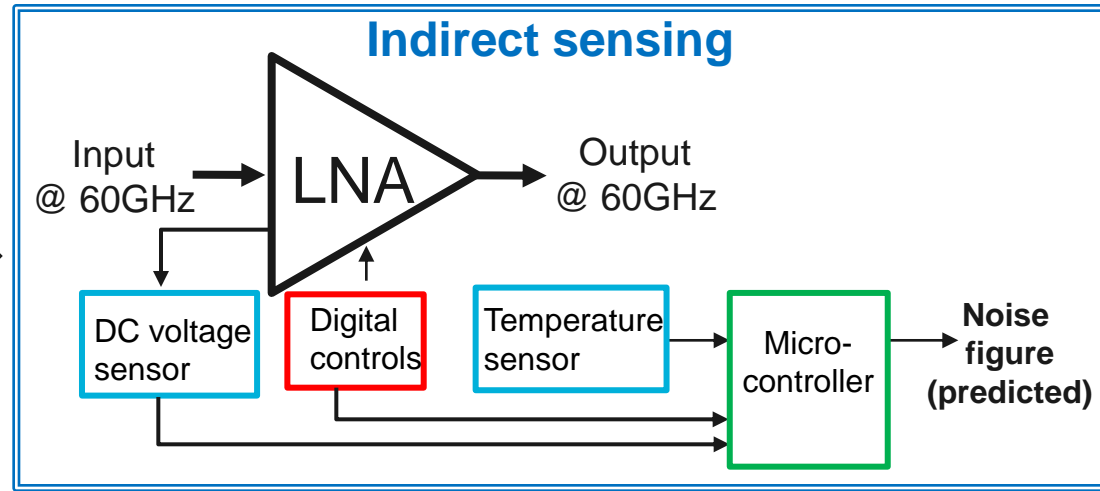
DFT: Indirect Measurements at mmWave

Indirect sensing: Estimate the performance of interest by using other performance metrics that are straightforward to measure

Traditional testing



Indirect sensing



IBM, CMU collaborative project under DARPA HEALICs

For details, see J.-O. Plouchart, et al., RFIC, 2015

Also, for indirect phase noise sensing, see B. Sadhu, et al., JSSC, 2013

Conclusions

- Silicon based mmWave is ready for 5G
 - Cellular, backhaul, D2D
- Antenna and packaging need special attention
 - Co-design is critical
- Testing is expensive – use on-chip test

‘Ultimately though, we should expect mmWave systems to become as inexpensive and ubiquitous as 2.4- and 5-GHz WLAN systems are today. Some of the early companies developing products in the mmWave space will succeed and become profitable, and some will fail. But the end result will be “millimeter-waves for the masses.”’ -- Advanced Millimeter Wave Technologies: Antenna, Packaging and Circuits, Wiley Press, 2009

Acknowledgments

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The 60GHz switched beam package work is supported by the DARPA HEALICS (Self-Healing Mixed-Signal Integrated Circuits) program under Air Force Research Laboratory (AFRL) contract FA8650-09-C-7924.

The views, opinions, and/or findings contained in this presentation are those of the author/presenter and should not be interpreted as representing the official views or policies, either expressed or implied, of the Defense Advanced Research Projects Agency or the Department of Defense.



References (1)

A. Valdes-Garcia, S. Nicolson, J.-W. Lai, A. Natarajan, P. Y. Chen, S. Reynolds, J.-H. C. Zhan, D. Kam, D. Liu, and B. Floyd, "A Fully Integrated 16-Element Phased-Array Transmitter in SiGe BiCMOS for 60GHz Communications", IEEE Journal of Solid-State Circuits, pp. 2757-2772, December 2010.

A. Valdes-Garcia, S. Reynolds, J.-W. Lai, A. Natarajan, O. Huang, S. Nicolson, P. Y. Chen, M.-D. Tsai, J.-H. C. Zhan, D. Kam, D. Liu, and B. Floyd, "Single-Element and Phased-Array Transceiver Chipsets for 60-GHz Gb/s Communication", IEEE Communications Magazine, pp. 120-131, April 2011.

A. Natarajan, S. Reynolds, M.-D. Tsai, S. Nicolson, J.-H. C. Zhan, D. Kam, D. Liu, O. Huang, A. Valdes-Garcia, and B. Floyd, "A Fully Integrated 16-Element Phased-Array Receiver in SiGe BiCMOS for 60-GHz Communications", IEEE Journal of Solid-State Circuits, pp. 1059-1075, May 2011

A. Valdes-Garcia, A. Natarajan, D. Liu, M. Sanduleanu, X. Gu, M. Ferriss, B. Parker, C. Baks, J.-O. Plouchart, H. Ainspan, B. Sadhu, Md. R. Islam, and S. Reynolds, "A Fully-Integrated Dual-Polarization 16-Element W-band Phased-Array Transceiver in SiGe BiCMOS", IEEE Radio Frequency Integrated Circuits Symposium, pp. 375-378, June 2013.



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- X. Gu, D. Liu, C. Baks, A. Valdes-Garcia, B. Parker, M.D. R Islam, A. Natarajan, and S. K. Reynolds, "A Compact 4-Chip Package with 64 Embedded Dual-Polarization Antennas for W-band Phased-Array Transceivers", IEEE Electronic Components and Technology Conference, pp. 1272-1277, May 2014
- X. Gu, A. Valdes-Garcia, A. Natarajan, B. Sadhu, D. Liu and S. K. Reynolds, "W-band Scalable Phased Arrays for Imaging and Communications", IEEE Communication Magazine, April 2015
- X. Gu, D. Liu, C. Baks, B. Sadhu, and A. Valdes-Garcia, "A Multilayer Organic Package with Four Integrated 60GHz Antennas Enabling Broadside and End-Fire Radiation for Portable Communication Devices", IEEE Electronic Components and Technology Conference, May 2015
- X. Gu, D. Gun Kam, D. Liu, M. Piz, A. Valdes-Garcia, A. Natarajan, C. Baks, B. Sadhu, S.K. Reynolds, "Enhanced multilayer organic packages with embedded phased-array antennas for 60-GHz wireless communications," in Electronic Components and Technology Conference (ECTC), 2013 IEEE 63rd , vol., no., pp.1650-1655, 28-31 May 2013
- A. Natarajan, A. Valdes-Garcia, B. Sadhu, S. K. Reynolds and B. Parker, "W-Band Dual-Polarization Phased-Array Transceiver Frontend in SiGe BiCMOS", IEEE Transactions on Microwave Theory and Techniques, 2015



References (3)

J.O. Plouchart, F. Wang, A. Balteanu, B. Parker, M. Sanduleanu, M. Yeck, V. Chen, W. Woods, B. Sadhu, A. Valdes-Garcia, X. Li, D. Friedman, "A 18mW, 3.3dB NF, 60GHz LNA in 32nm SOI CMOS Technology with Autonomic NF Calibration", IEEE Radio Frequency Integrated Circuits Symposium, May 2015

J.-O. Plouchart, F. Wang, X. Li, B. Parker, M. Sanduleanu, A. Balteanu, B. Sadhu, A. Valdes-Garcia, and D. Friedman, "Adaptive Circuit Design Methodology and Test Applied to Millimeter-Wave Circuits", IEEE Design & Test, December, 2014

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