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# Battle of the Waveforms for 5G

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# OUTLINE

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Introduction: OFDM/OFDMA

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5G Challenges

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Waveform Design Targets

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Implementation Perspective: Universally Filtered Multi-carrier (UFMC) Systems

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Error Performance & Sidelobe levels

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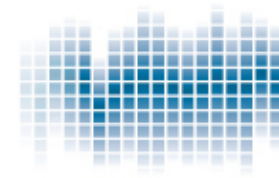
Further Improvements

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Summary



NI Engineering Impact Awards  
2017 RF and Mobile Communications - FINALIST



<http://sine.ni.com/cs/app/doc/p/id/cs-17378#>



# OFDM/OFDMA



Orthogonal Frequency Division Multiplexing/Orthogonal Frequency Division Multiple Access: *Enables transmission of parallel data streams*

- High data rates
- Robustness to frequency selectivity
- Sensitivity to time/frequency offsets
- High peak to average power ratio (PAPR)
- High sidelobe levels



# 5G Challenges

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Solutions to OFDM/OFDMA problems:

- ↓ Spectral efficiency
- ↓ Energy efficiency



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OFDM/OFDMA may not address 5G constraints!

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Solutions to OFDM/OFDMA problems:

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OFDM/OFDMA may not address 5G constraints!

New waveforms may be a solution

# Design Targets

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- ↑ Spectral efficiency
- ↑ Energy efficiency
- ↓ PAPR
- ↓ Sidelobe levels
- + Simpler synchronization



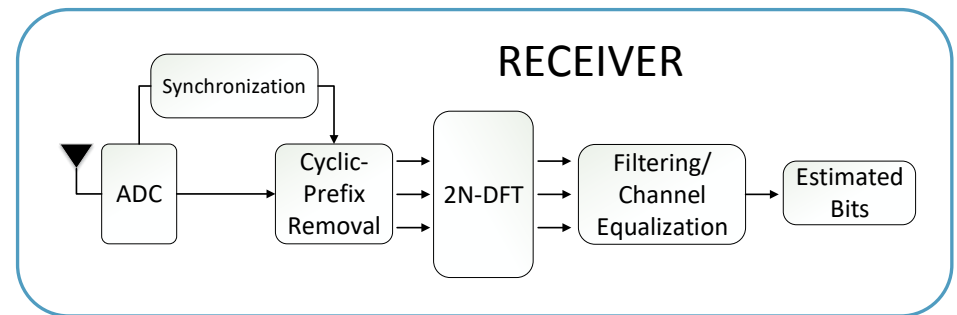
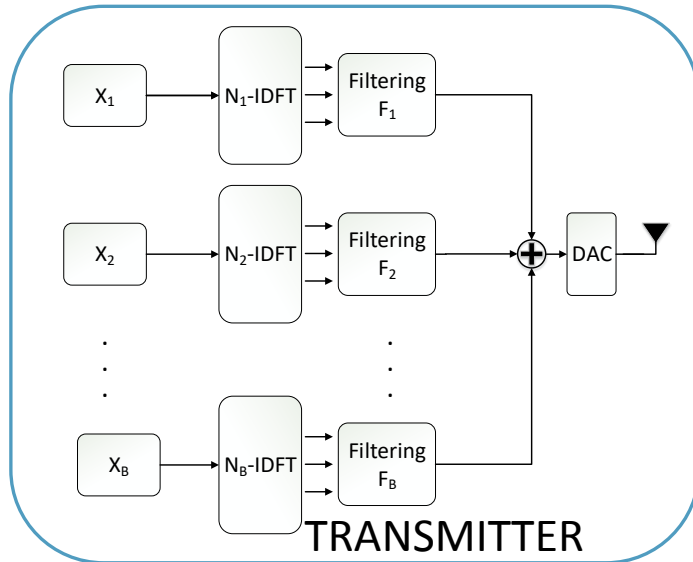
# Candidate Techniques

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- ✓ Filter Bank Multicarrier Modulation [SIOHAN, 2002]
- ✓ Generalized Frequency Division Multiplexing [FETTWEIS, 2009]
- ✓ Filtered-OFDM [ABDOLI, 2015]
- ✓ Zero-tail DFT-spread-OFDM [BERARDINELLI, 2013]
- ✓ Universal Filtered Multi-Carrier [VAKILIAN, 2013]
- ✓ ...



# Implementation Perspective

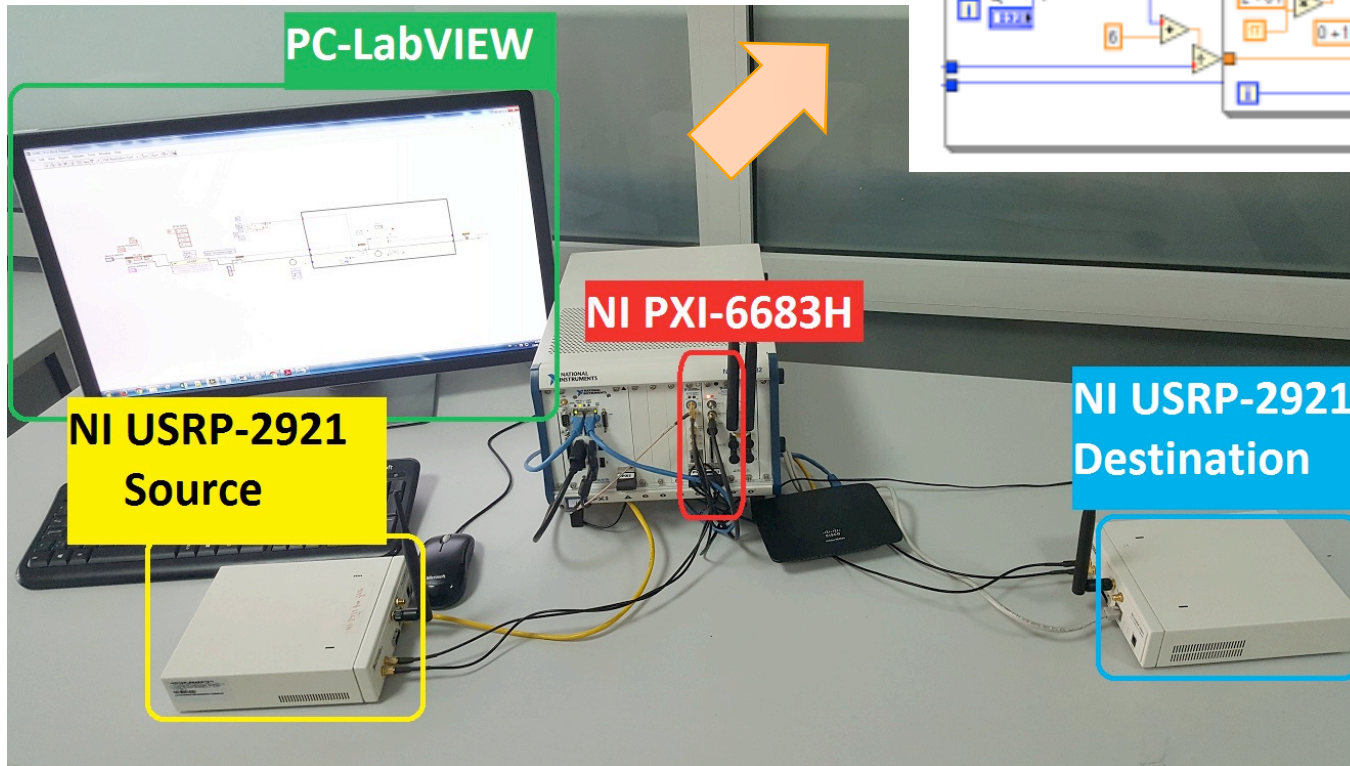
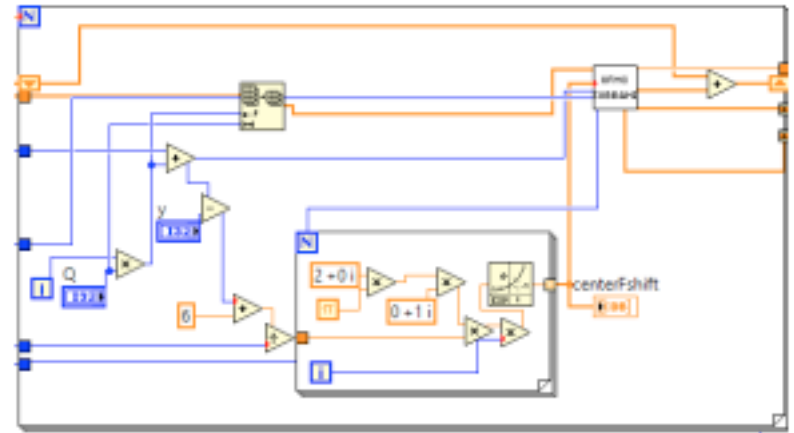


A good compromise: UFMC

- ✓ Better control of sidelobe levels/interference
- ✓ Robustness to synchronization sensitivity:

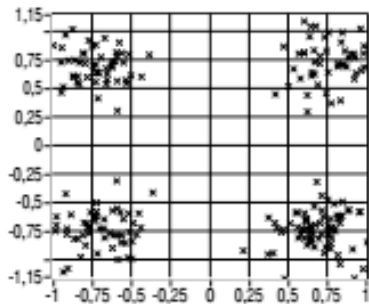
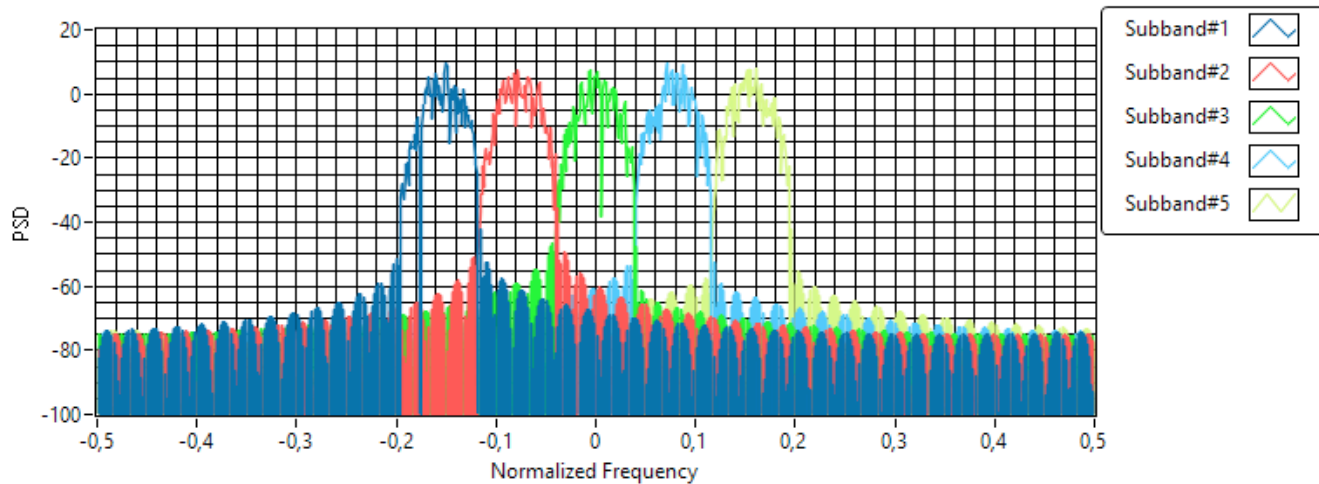
Carrier Frequency Offset/Timing Offset

# SDR Testbed

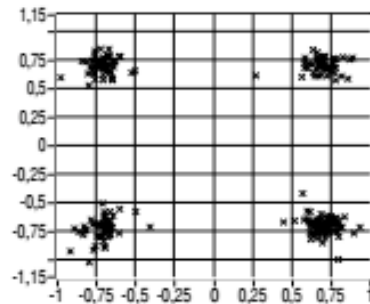


# Measurement Results

UFMC, 5 Subbands, 40 Subcarriers



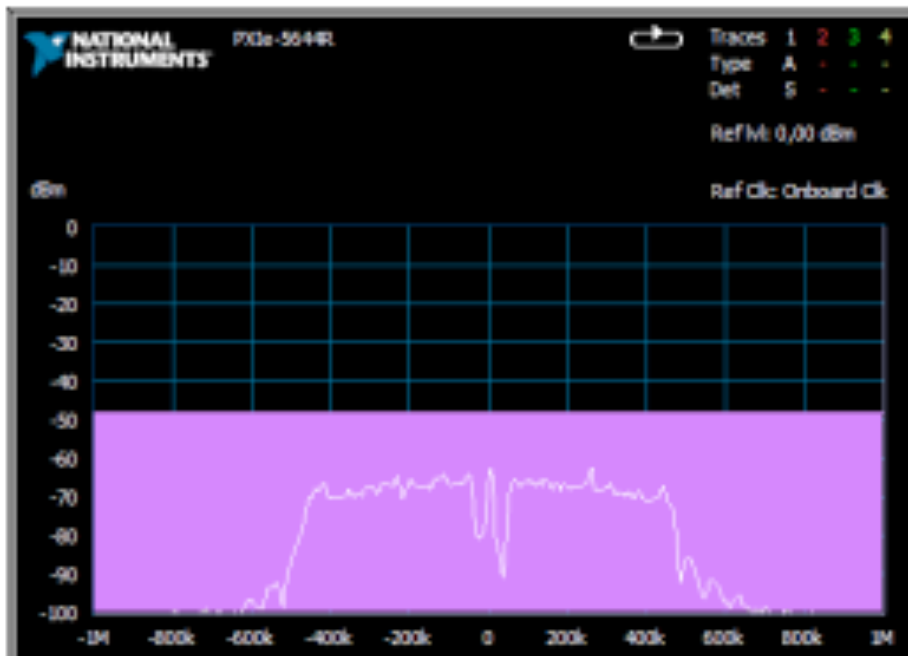
(a) OFDM



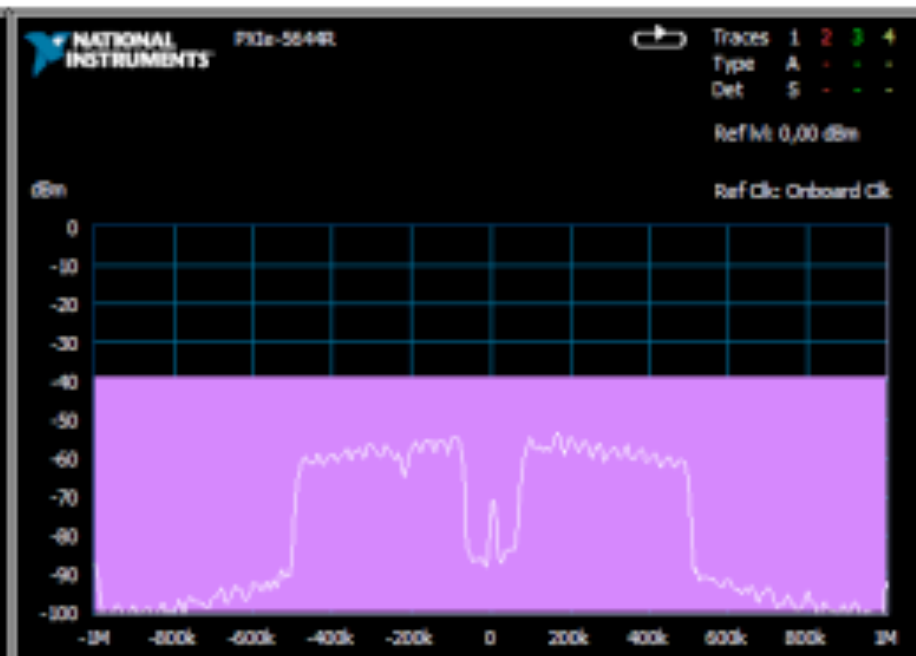
(b) UFMC

Gain	Waveform	EVM	BER
1 dB	OFDM	34.96%	$2.5 \times 10^{-3}$
	UFMC	18.50%	$3.6 \times 10^{-4}$
3 dB	OFDM	30.08%	$6.6 \times 10^{-4}$
	UFMC	17.07%	$1.9 \times 10^{-4}$
6 dB	OFDM	24.97%	$4.2 \times 10^{-4}$
	UFMC	15.18%	$9.5 \times 10^{-5}$

# Sidelobe levels (1/2)

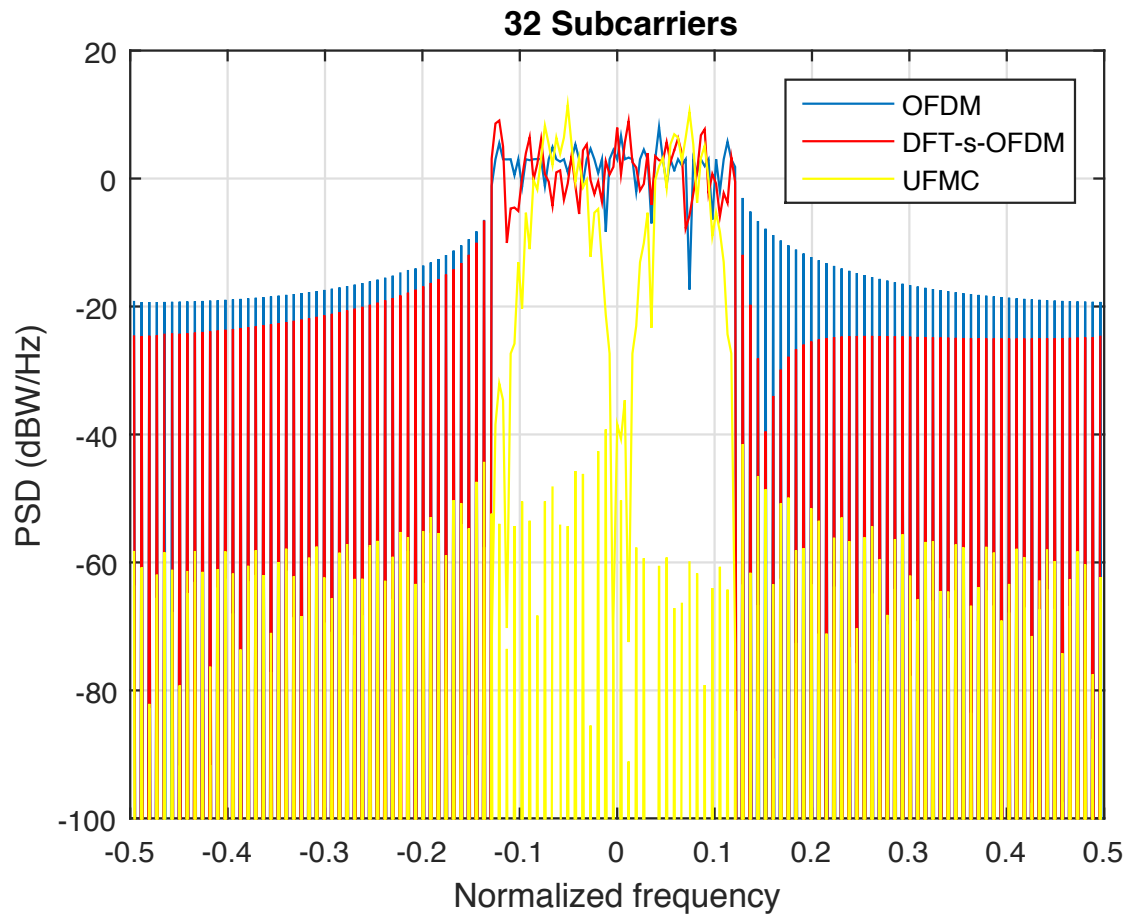


(a) OFDM signal.

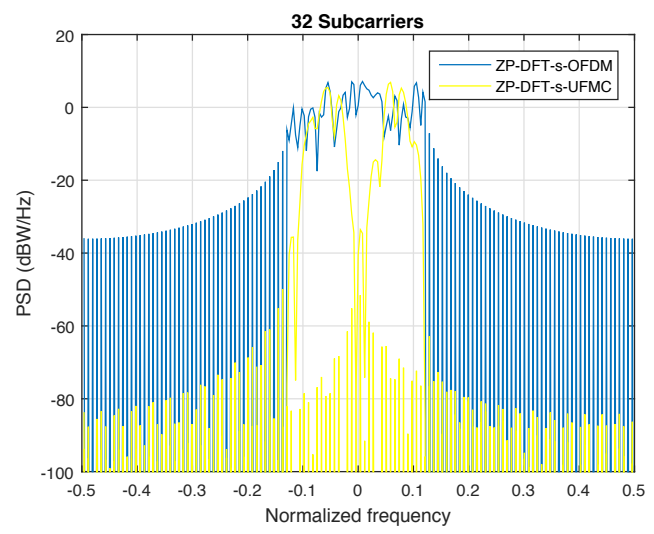
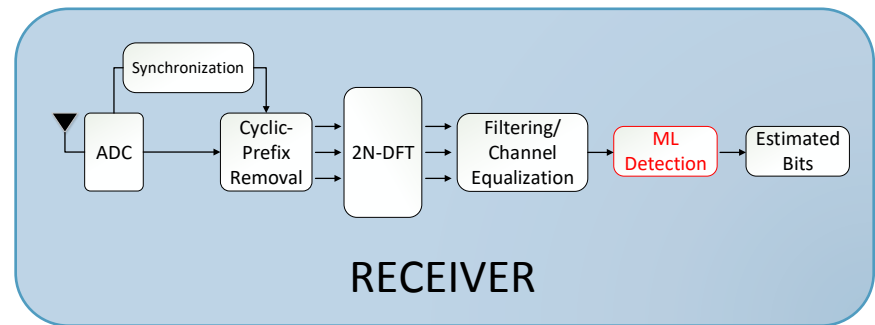
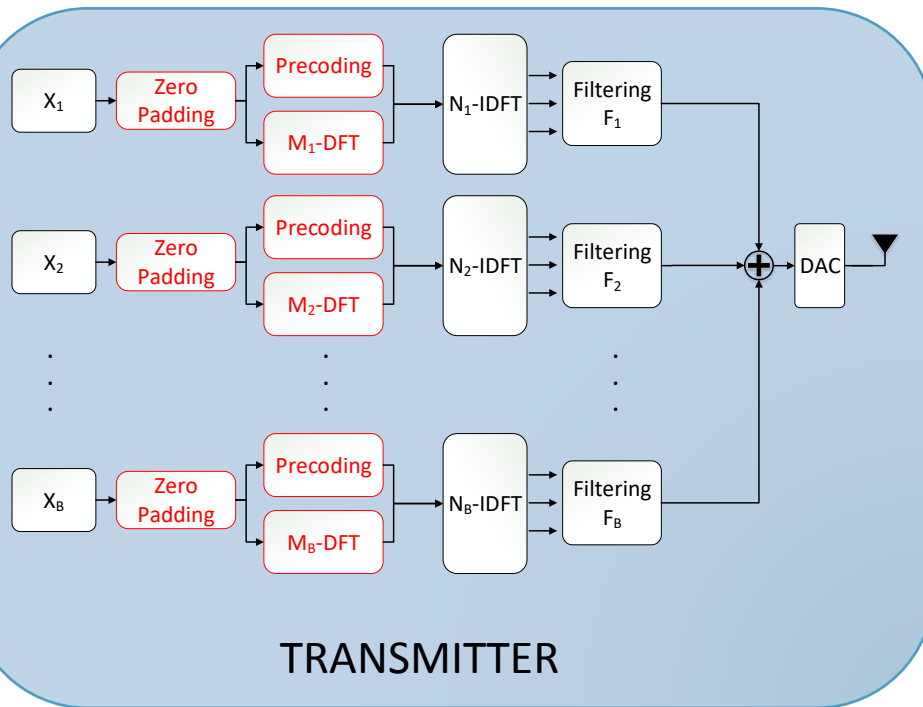


(b) UFMC signal.

# Sidelobe levels (2/2)



# Further Improvements



# Summary

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1. OFDM & OFDMA are proven techniques
2. Dense networks may require more flexible waveform design
3. UFMC is a good option in terms of its flexibility



# Selected References:

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# Questions?

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Thank you for you attention!

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